

Morphing Attack Detection Overview

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copy of slides available at:

<https://www.christoph-busch.de/projects-mad.html>

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CRISP
Center for Research
in Security and Privacy



Overview

Agenda

- Introduction - Problem description
- Morphing Attack Detection - Scenarios and Methods
- Status: Face Morphing Attack Detection
- Future - what needs to be done?
- Conclusion

Problem Description

History - 2009

Face Morphing

- The morphing attack was named and classified as **vulnerability** of a biometric system in Clause 8.3.8.1 of ISO/IEC FDIS 19792:
 - ▶ *“... Examples of abnormal characteristics could include those with unusually large or small numbers of features. Such characteristics may not be representative of any human biometric characteristic but could be synthesised and copied to an artefact. Alternatively a synthesised characteristic could be injected electrically during a replay attack or planted in the reference database.*
....
*- feature sets comprising amalgamations of biometric features from 2 or more individuals, e.g. **morphed facial images**”*



History - 2014

Integrated Project FIDELITY

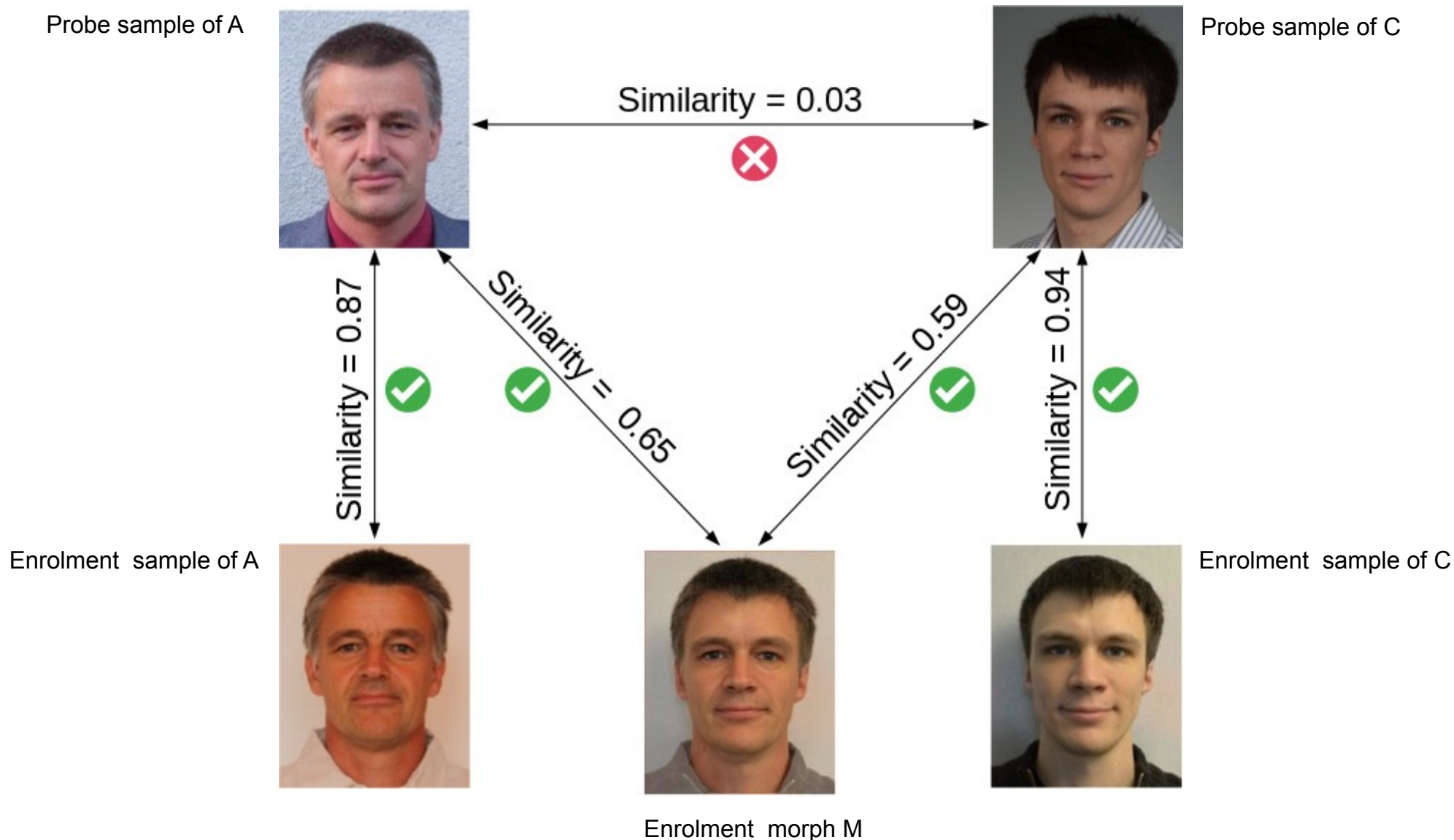


<http://www.fidelity-project.eu/>

- Fast and trustworthy Identity Delivery and check with ePassports leveraging Traveler privacy
- 4 years project (2012-2016)
 - ▶ European 7th Framework Programme
- Objectives:
 - ▶ To improve the **ePassport issuing process**
 - Security of birth certificates and other evidence of identity
 - Quality of biometric data in the chip
 - One individual one passport (duplicate enrolment check)
 - ▶ To demonstrate solutions that enable faster and more secure and efficient real-time authentication of individuals at border crossing
 - ▶ To protect privacy of the travel document holders with a privacy-by-design approach.

Problem: Morphing Attacks

Verification against morphed facial images



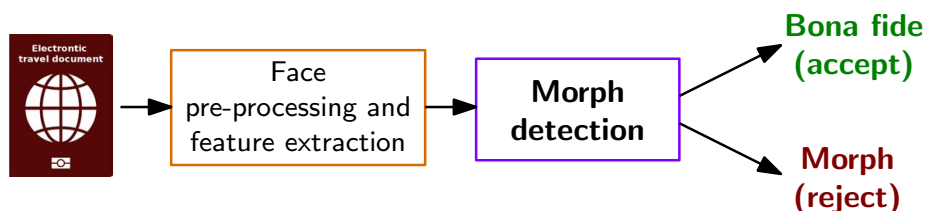
Morphing Attack Detection (MAD)

Scenarios and Methods

Morphing Attack Detection Scenarios

Real world scenarios

- **No-reference** morph detection
 - ▶ One **single** facial **image** is analysed (e.g. in the passport application office)



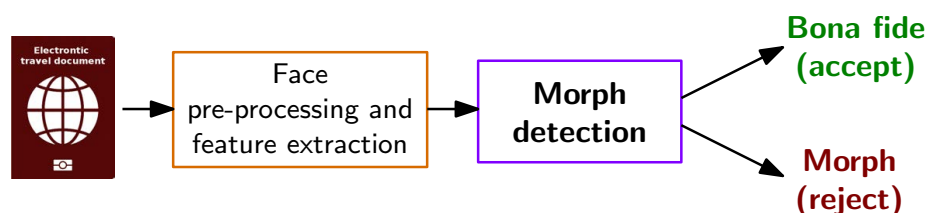
[SRB18a] U. Scherhag, C. Rathgeb, C. Busch: "Towards Detection of Morphed Face Images in electronic Travel Documents", in Proceedings of the 13th IAPR International Workshop on Document Analysis Systems (DAS 2018), April 24-27, (2018)

Morphing Attack Detection Scenarios

Real world scenarios

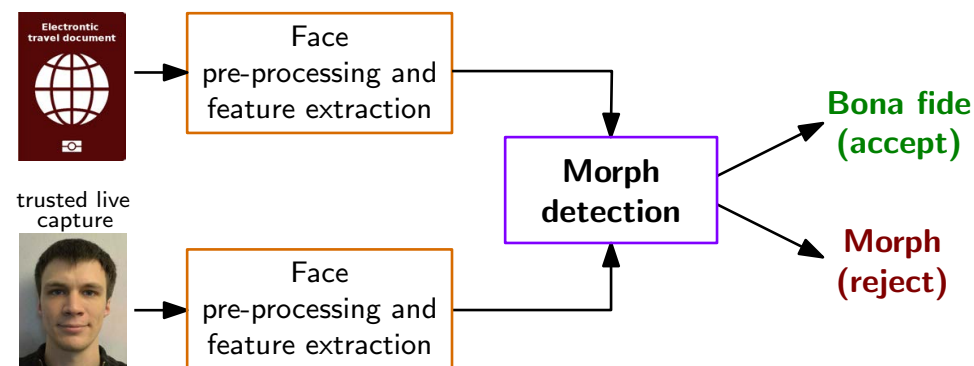
- No-reference morph detection

- ▶ One **single** facial **image** is analysed (e.g. in the passport application office)



- **Differential** morph detection

- ▶ A **pair** of images is analysed - and one is a trusted Bona Fide image
- ▶ Biometric verification (e.g. at the border)

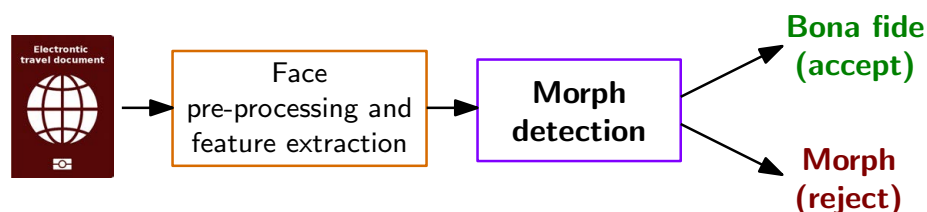


[SRB18a] U. Scherhag, C. Rathgeb, C. Busch: "Towards Detection of Morphed Face Images in electronic Travel Documents", in Proceedings of the 13th IAPR International Workshop on Document Analysis Systems (DAS 2018), April 24-27, (2018)

Face Pre-processing and Feature Extraction

Morphing Attack Detection (MAD) with texture analysis

- Image descriptors as **hand-crafted** features

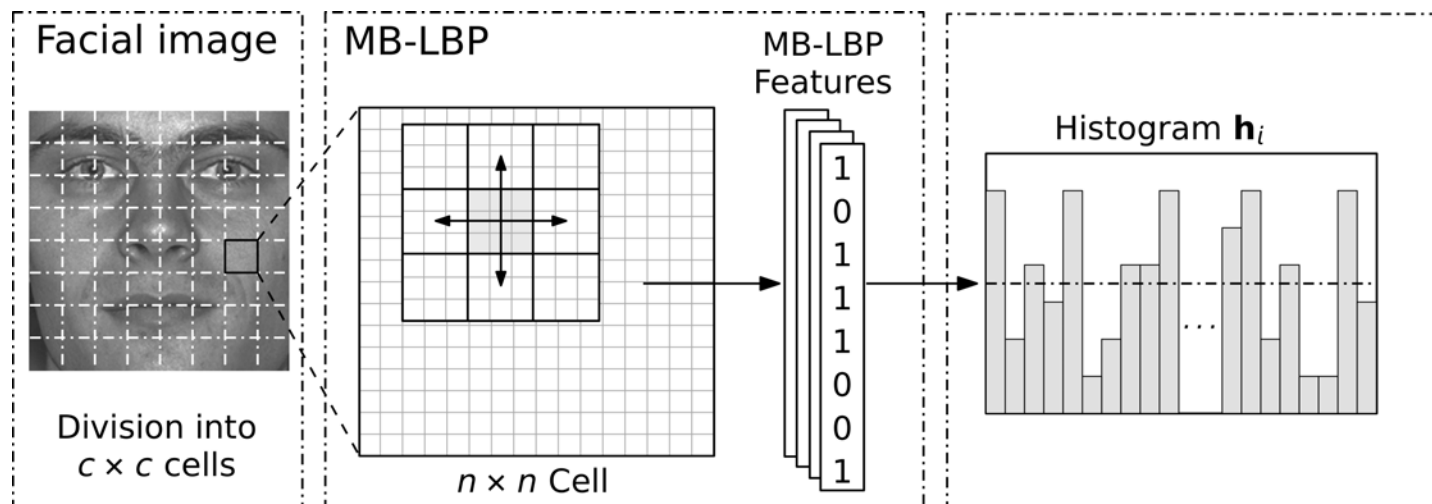


[SRB18b] U. Scherhag, C. Rathgeb, C. Busch: „Detection of Morphed Faces from Single Images: a Multi-Algorithm Fusion Approach“, in Proceedings of the 2nd International Conference on Biometric Engineering and Applications (ICBEA 2018), Amsterdam, The Netherlands, May 16-18, (2018)

Face Pre-processing and Feature Extraction

MAD with image descriptor

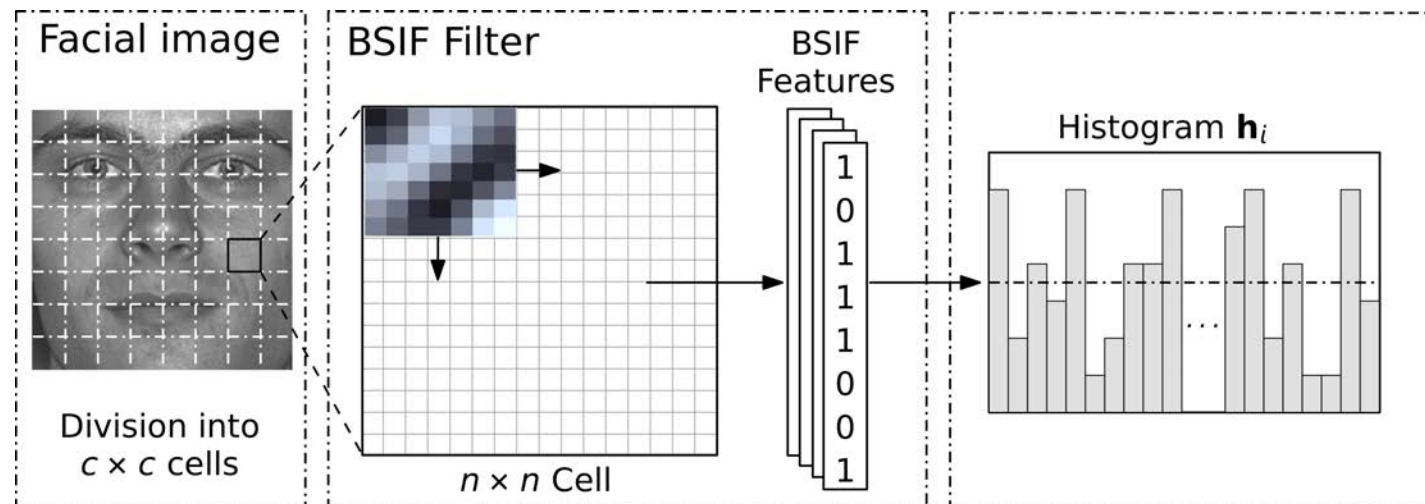
- Local Binary Pattern (LBP)



Face Pre-processing and Feature Extraction

MAD with image descriptor

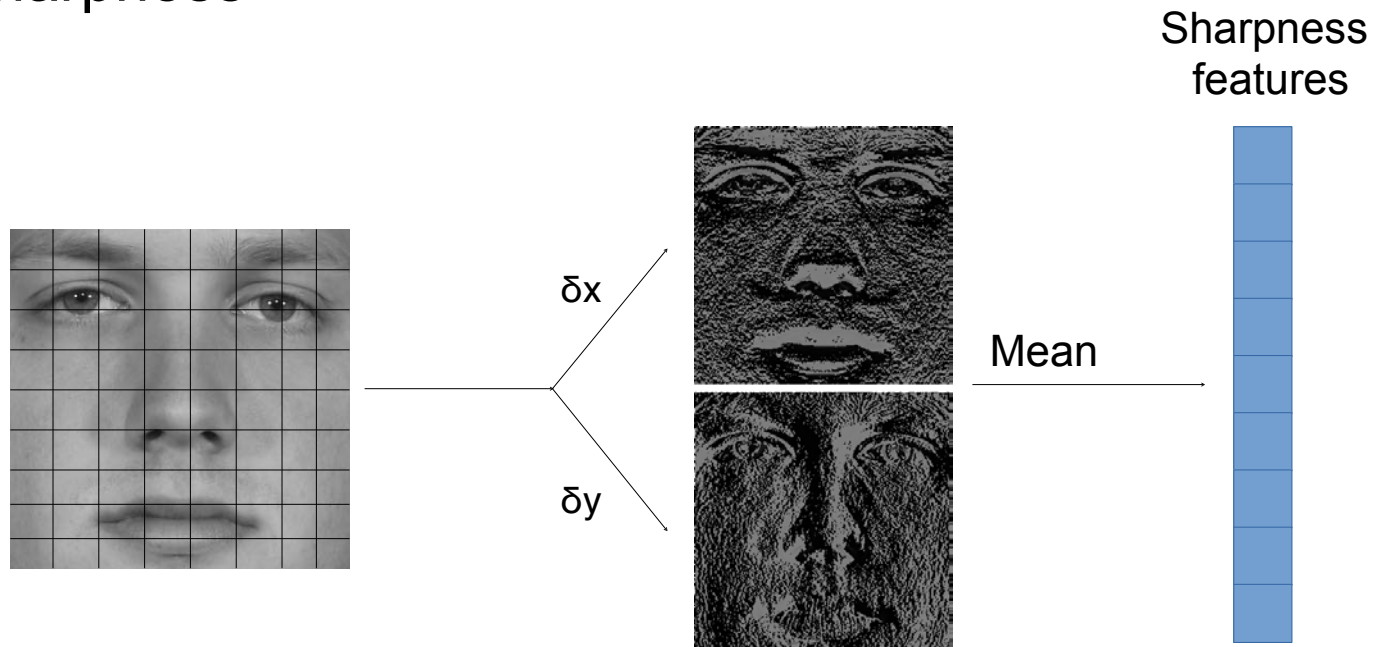
- Binarized Statistical Image Features (BSIF)



Face Pre-processing and Feature Extraction

MAD with image descriptor

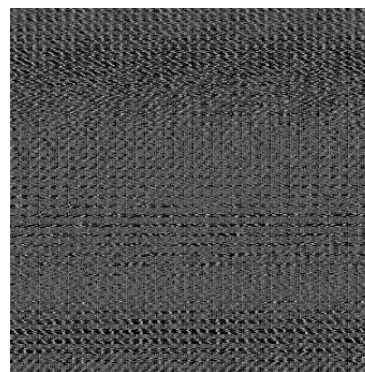
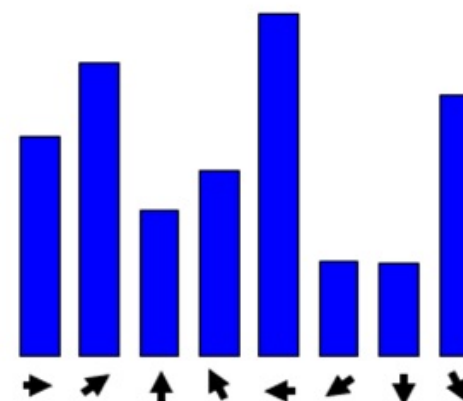
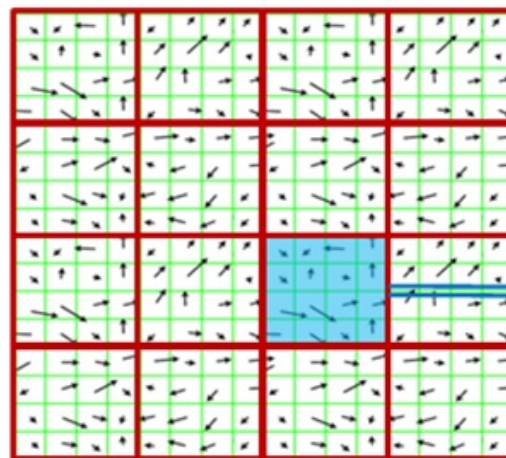
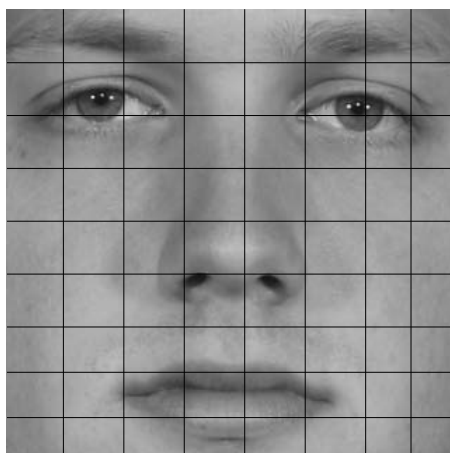
- Sharpness



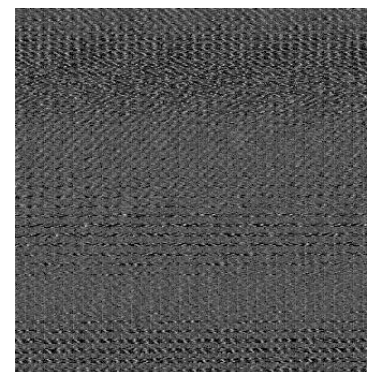
Face Pre-processing and Feature Extraction

MAD with image descriptor

- Histogram of Gradients (HOG)



Morph

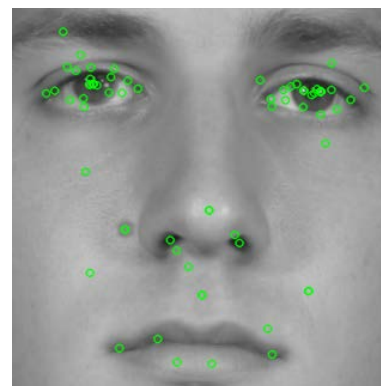
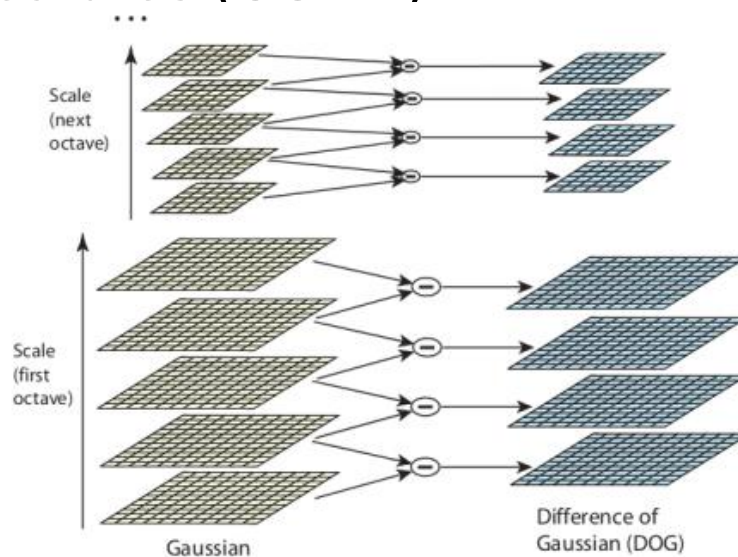
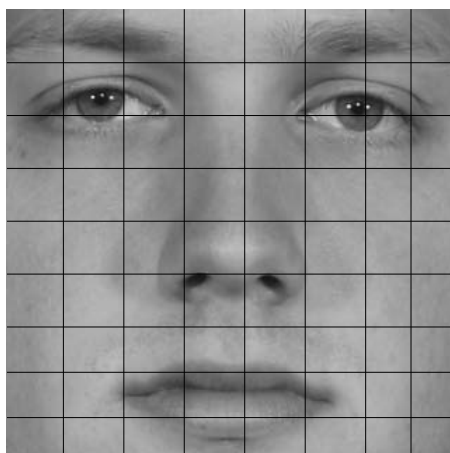


Bona Fide

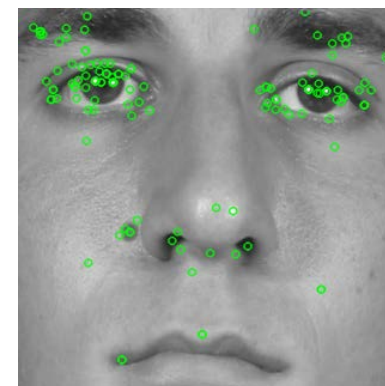
Face Pre-processing and Feature Extraction

MAD with image descriptor

- Scale Invariant Feature Transform (SIFT)
- Speeded up Robust Features (SURF)



Morph

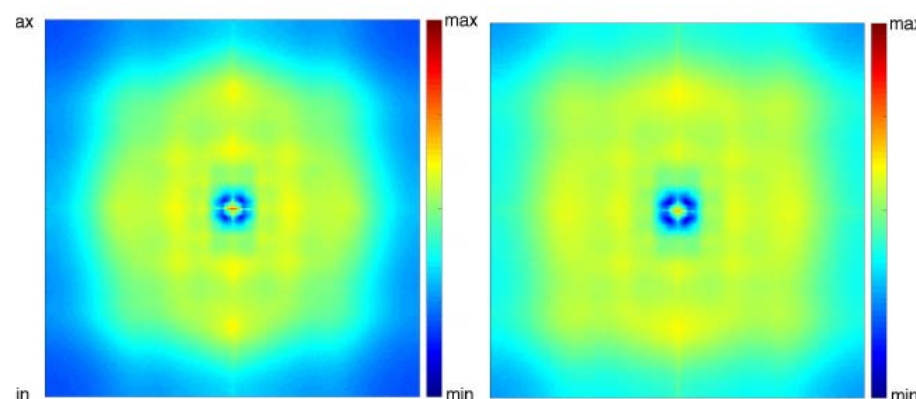


Bona Fide

Face Pre-processing and Feature Extraction

MAD with image descriptor / forensic approach

- Photo Response Non-Uniformity (PRNU)



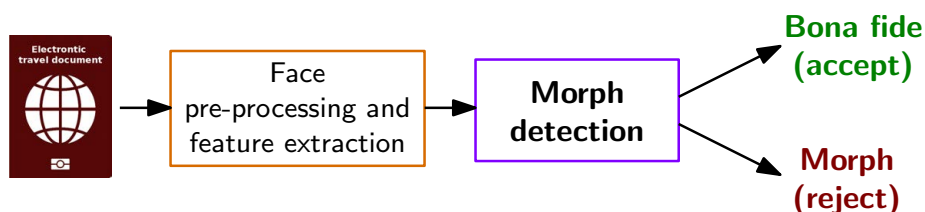
Morph

Bona Fide

Face Pre-processing and Feature Extraction

Morphing Attack Detection (MAD) with texture analysis

- Image descriptors as **Deep features**



CNN
BlackBox

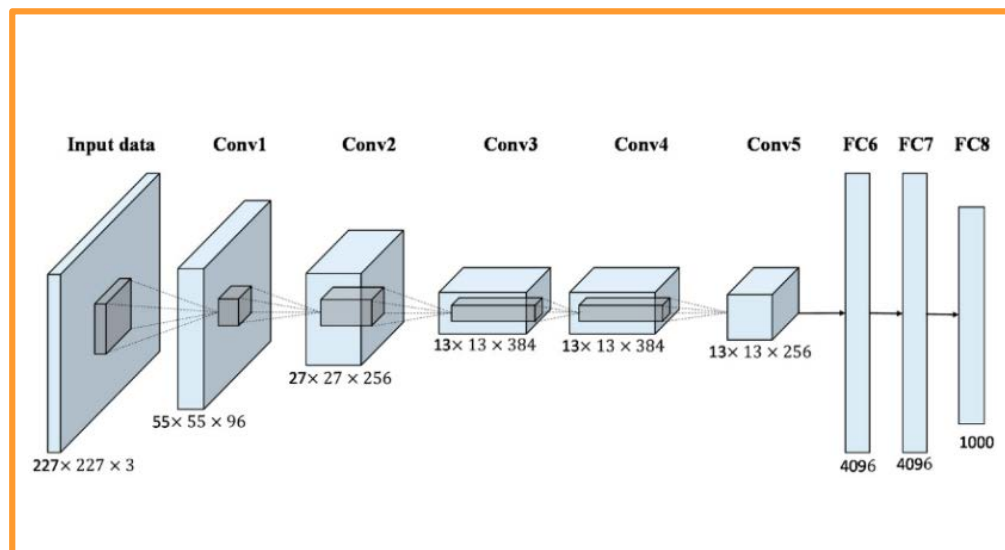
Morph Detection
Classifier

Face Pre-processing and Feature Extraction

MAD with deep learning

- **Deep Features**

- ▶ pre-trained Convolutional Neural Network (CNN)
- ▶ OpenFace



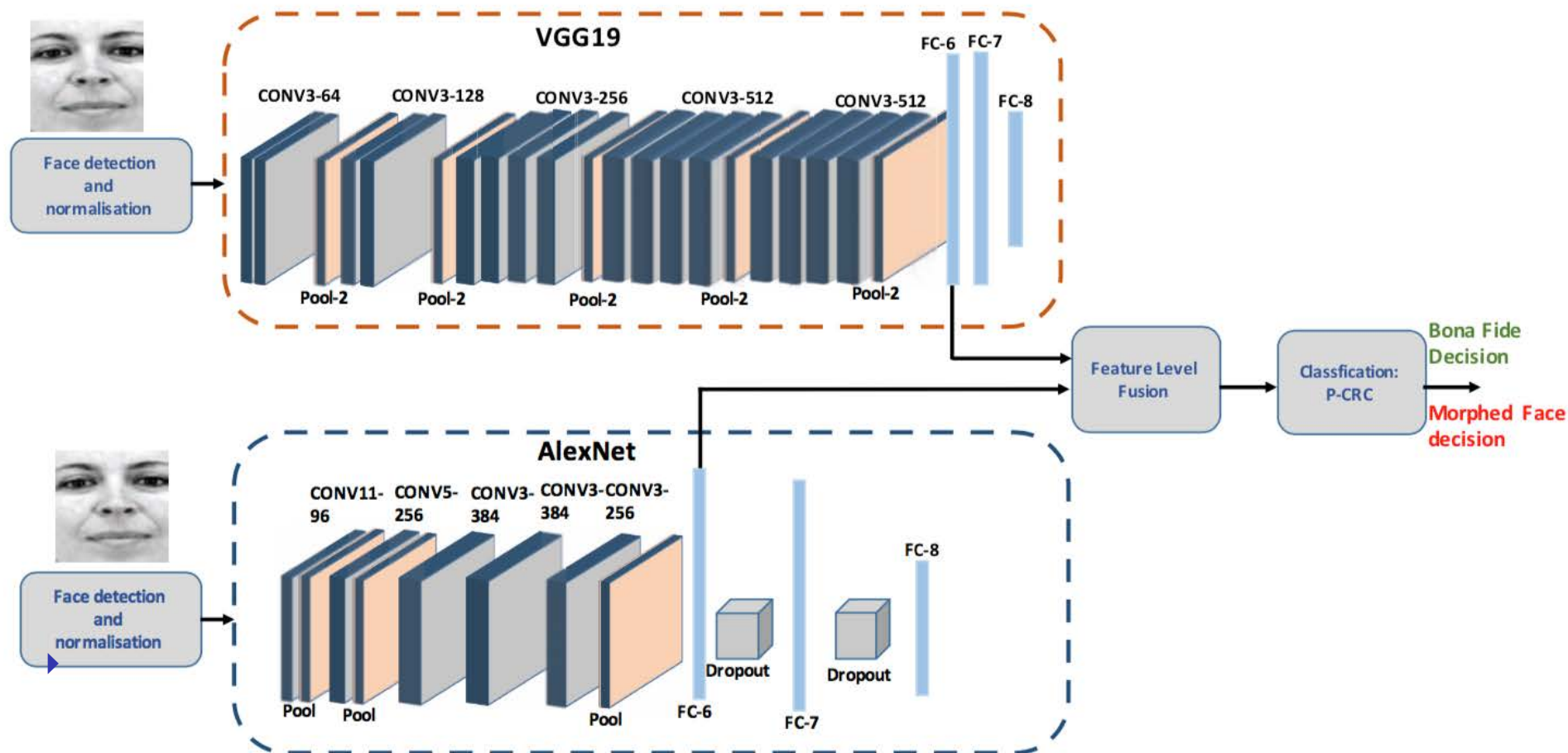
**Morph Detection
Classifier**

[Amos16] B. Amos, B. Ludwiczuk und M. Satyanarayanan: „Open-Face: A general-purpose face recognition library with mobile applications“, Technical report, CMU School of Computer Science, (2016)

No-Reference Morph Detection

MAD with deep learning

- Feature level fusion of Deep CNNs



[RRVBu17] R. Raghavendra, K. Raja, S. Venkatesh, C. Busch: "Transferable Deep-CNN features for detecting digital and print-scanned morphed face images", in Proceedings of 30th International Conference on Computer Vision and Pattern Recognition Workshop (CVPRW 2017), July 21-26, (2017)

MAD Evaluation Methodology

MAD Evaluation Methodology

Face Morphing Attack **evaluations** are complex

- Evaluations must consider a dedicated **methodology**
 - ▶ see the following presentation by Marta Gomez-Barrero [SNR17]

[SNR17] U. Scherhag, A. Nautsch, C. Rathgeb, M. Gomez-Barrero, R. Veldhuis, L. Spreeuwers, M. Schils, D. Maltoni, P. Grother, S. Marcel, R. Breithaupt, R. Raghavendra, C. Busch: "Biometric Systems under Morphing Attacks: Assessment of Morphing Techniques and Vulnerability Reporting", in Proceedings of the IEEE 16th International Conference of the Biometrics Special Interest Group (BIOSIG), Darmstadt, September 20-22, (2017)

MAD Evaluation Methodology

Evaluations must consider **many parameters**

*result = f(dataset-training, dataset-testing, morphing-attack,
landmark-detector, feature-extractor, classifier,
scenario (no-reference vs. differential),
post-processing, printer, scanner)*

Quality of the passport image under investigation

- hopefully ICAO 9303 compliant
- and
- ISO/IEC 39794-5 compliant



MAD Evaluation Methodology

Evaluations must consider many parameters

- For a **differential** MAD evaluation

result = f (dataset-training, dataset-testing, morphing-attack, landmark-detector, feature-extractor, classifier, scenario (no-reference vs. differential), post-processing, printer, scanner)

Quality of the **passport image** under investigation
and quality of the **trusted probe image**



In our evaluation we use

- The FERET dataset for training
<https://www.nist.gov/programs-projects/face-recognition-technology-feret>
- The FRGCv2 dataset for testing
<https://www.nist.gov/programs-projects/face-recognition-grand-challenge-frgc>
- Both data sets were filtered to reach ICAO compliance

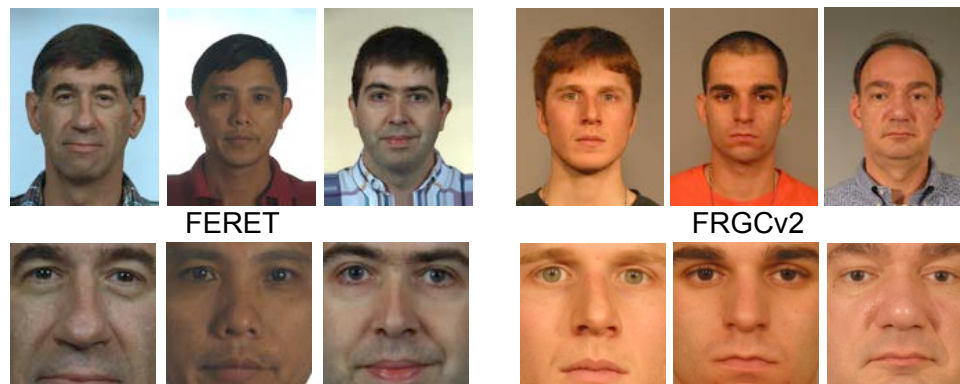
MAD Evaluation Methodology

Evaluations must consider many parameters

- Dataset preparation requires **pre-processing**

result = f(dataset-training, dataset-testing, morphing-attack, landmark-detector, feature-extractor, classifier, scenario (no-reference vs. differential), post-processing, printer, scanner)

Facial images are **cropped** and **aligned** to a normalized **size**



Resulting images are

- cropped** to 320x320 pixel
- aligned according to Dlib landmarks, such that eyes are at **identical coordinates**

MAD Evaluation Methodology

Evaluations must consider many parameters

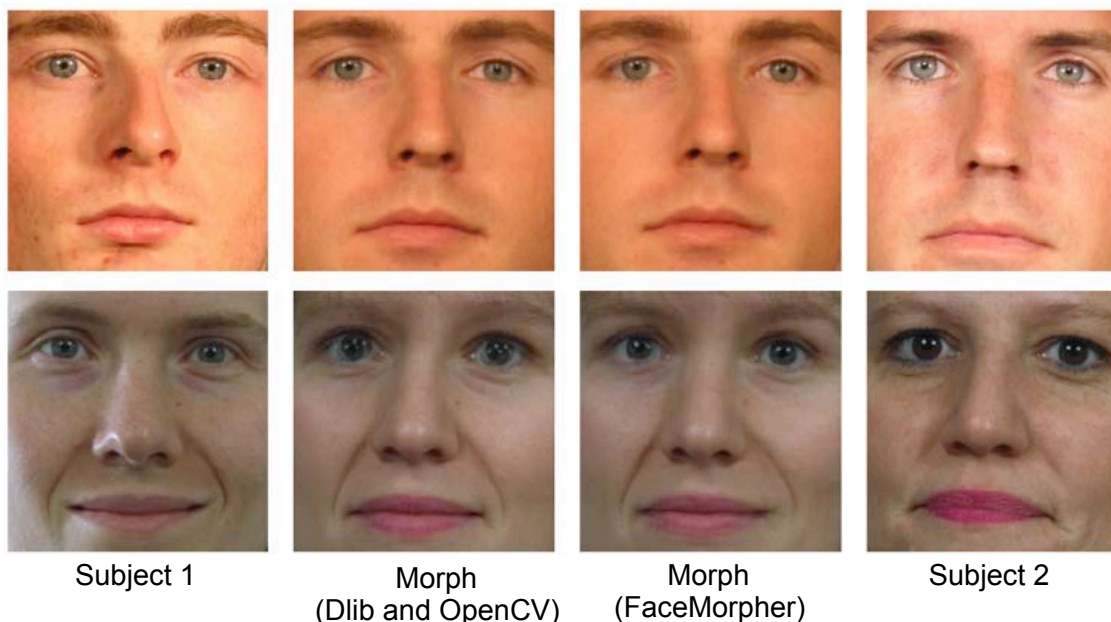
- Morphing may require **manual interaction** (not desired)

result = f(dataset-training, dataset-testing, morphing-attack, landmark-detector, feature-extractor, classifier, scenario (no-reference vs. differential), post-processing, printer, scanner)

Automated face morphing tools may introduce artifacts

In our evaluation we use

- Dlib / OpenCV
- FaceMorpher




MAD Evaluation Methodology

Evaluations must consider many parameters

- From machine learning tools we select a **classifier**

result = f (dataset-training, dataset-testing, morphing-attack, landmark-detector, feature-extractor, classifier, scenario (no-reference vs. differential), post-processing, printer, scanner)



Simplicity and **generalisation capability** are desired properties

In our evaluation we use

- Support Vector Machine (SVM)
 - ▶ with radial basis function as kernel
- AdaBoost
 - ▶ with 200 estimates and a decision stump

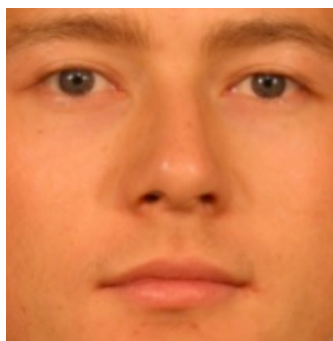
MAD Evaluation Methodology

Evaluations must consider many parameters

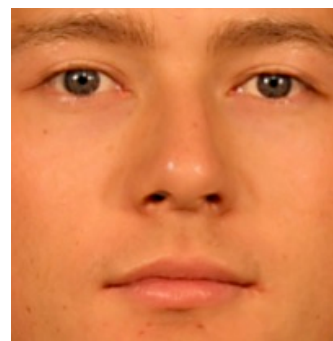
- Postprocessing might **conceal** morphing effects (e.g. **smoothing**)

result = f(dataset-training, dataset-testing, morphing-attack, landmark-detector, feature-extractor, classifier, scenario (no-reference vs. differential), post-processing, printer, scanner)

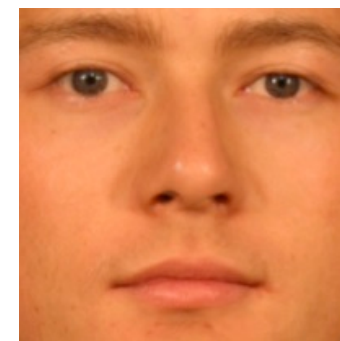
smoothing and other effects might be compensated by the attacker



Morph



Sharpening



Histogram
equalisation

In our evaluation
we show results for

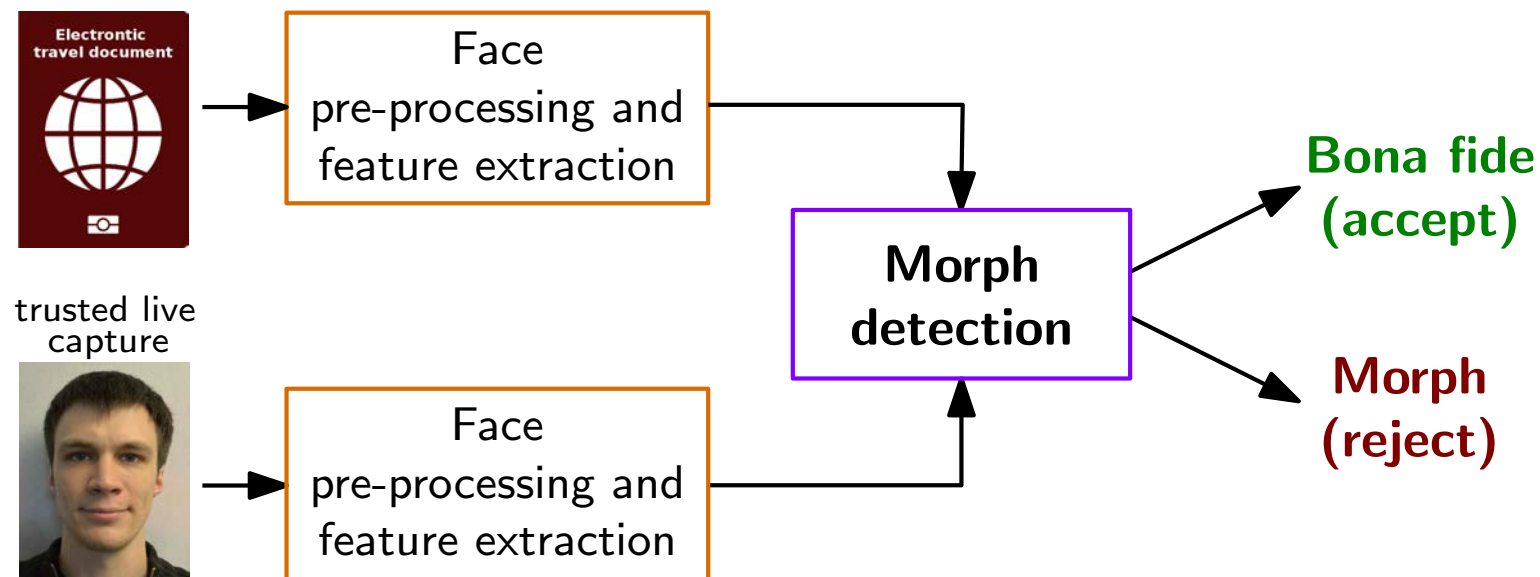
- Sharpening

Results

MAD Evaluation

Generalising evaluation - **differential** scenario

- **Differential** morph detection
 - ▶ A **pair** of images is analysed - and one is a trusted Bona Fide image
 - ▶ Biometric verification (e.g. at the border)



MAD Evaluation

Generalising evaluation - differential scenario

- training on FERET, testing on FRGCv2
 - hand-crafted feature extractors perform well
 - no post-processing of morph images

| MAD-method | Classifier | Morphing Algorithm (Training) | Morphing Algorithm (Test) | D-EER |
|------------------|------------|-------------------------------|---------------------------|--------|
| LBP | SVM | Dlib und OpenCV | Dlib und OpenCV | 0,0228 |
| LBP (4x4 cells) | SVM | Dlib und OpenCV | Dlib und OpenCV | 0,0997 |
| LBP | AdaBoost | Dlib und OpenCV | Dlib und OpenCV | 0,0645 |
| LBP (4x4 cells) | AdaBoost | Dlib und OpenCV | Dlib und OpenCV | 0,0471 |
| BSIF | SVM | Dlib und OpenCV | Dlib und OpenCV | 0,0775 |
| BSIF (4x4 cells) | SVM | Dlib und OpenCV | Dlib und OpenCV | 0,0656 |
| BSIF | AdaBoost | Dlib und OpenCV | Dlib und OpenCV | 0,0695 |
| BSIF (4x4 cells) | AdaBoost | Dlib und OpenCV | Dlib und OpenCV | 0,0742 |
| OpenFace | SVM | Dlib und OpenCV | Dlib und OpenCV | 0,1253 |
| OpenFace | AdaBoost | Dlib und OpenCV | Dlib und OpenCV | 0,1373 |
| FaceNet | SVM | Dlib und OpenCV | Dlib und OpenCV | 0,1943 |
| FaceNet | AdaBoost | Dlib und OpenCV | Dlib und OpenCV | 0,1745 |
| LBP | SVM | FaceMorpher | FaceMorpher | 0,0025 |
| LBP (4x4 cells) | SVM | FaceMorpher | FaceMorpher | 0,0023 |
| LBP | AdaBoost | FaceMorpher | FaceMorpher | 0,0453 |
| LBP (4x4 cells) | AdaBoost | FaceMorpher | FaceMorpher | 0,0000 |
| BSIF | SVM | FaceMorpher | FaceMorpher | 0,0253 |
| BSIF (4x4 cells) | SVM | FaceMorpher | FaceMorpher | 0,0085 |
| BSIF | AdaBoost | FaceMorpher | FaceMorpher | 0,0126 |
| BSIF (4x4 cells) | AdaBoost | FaceMorpher | FaceMorpher | 0,0695 |
| OpenFace | SVM | FaceMorpher | FaceMorpher | 0,1432 |
| OpenFace | AdaBoost | FaceMorpher | FaceMorpher | 0,1404 |
| FaceNet | SVM | FaceMorpher | FaceMorpher | 0,2054 |
| FaceNet | AdaBoost | FaceMorpher | FaceMorpher | 0,1745 |

MAD Evaluation

Generalising evaluation - differential scenario

- training on FERET, testing on FRGCv2
- now we focus on **LBP** only
 - and again no post-processing of morph images

| MAD-method | Classifier | Morphing Algorithm (Training) | Morphing Algorithm (Test) | D-EER |
|------------|------------|-------------------------------|---------------------------|--------|
| LBP | SVM | Dlib und OpenCV | FaceMorpher | 0,0153 |
| LBP | AdaBoost | Dlib und OpenCV | FaceMorpher | 0,0471 |
| LBP | SVM | FaceMorpher | Dlib und OpenCV | 0,0251 |
| LBP | AdaBoost | FaceMorpher | Dlib und OpenCV | 0,1369 |

We reach in the best case

- approx 1 % EER (between APCER and BPCER)

MAD Evaluation

Generalising evaluation - differential scenario

- training on FERET, testing on FRGCv2
- now we focus on LBP only
- post-processing of morph images with the **sharpening** operator

| MAD-method | Classifier | Morphing Algorithm (Training) | Morphing Algorithm (Test) | D-EER |
|------------|------------|-------------------------------|---------------------------|--------|
| LBP | SVM | Dlib und OpenCV | FaceMorpher | 0,0108 |
| LBP | AdaBoost | Dlib und OpenCV | FaceMorpher | 0,0414 |
| LBP | SVM | FaceMorpher | Dlib und OpenCV | 0,0417 |
| LBP | AdaBoost | FaceMorpher | Dlib und OpenCV | 0,1289 |

We still reach in the best case

- approx 1 % EER (between APCER and BPCER)

Future - What needs to be done?

MAD Evaluations on Digital Images

First investigations on morphing attack detection

- are on a **small** dataset
- Addressing only **digital** application process
(applicable for New Zealand, Estonia, Irland)

The upcoming evaluations

- NIST-FRVT-MORPH evaluation
- SOTAMD evaluation

will provide valuable insights

MAD Evaluations on Digital Images

Our submissions to NIST-FRVT-MORPH / SOTAMD:

- LBP-MAD proposed in [RRB16], [SRB18a] and [SRB18b]
- PRNU-MAD proposed in [DSRUB18a] and [DSRUB18b]

[RRB16] R. Raghavendra, K. Raja, C. Busch: "Detecting Morphed Facial Images", in Proceedings of 8th IEEE International Conference on Biometrics: Theory, Applications and Systems (BTAS-2016), September 6-9, Niagra Falls, USA, (2016)

[SRB18a] U. Scherhag, C. Rathgeb, C. Busch: "Towards Detection of Morphed Face Images in electronic Travel Documents", in Proceedings of the 13th IAPR International Workshop on Document Analysis Systems (DAS 2018), April 24-27, (2018)

[SRB18b] U. Scherhag, C. Rathgeb, C. Busch: „Detection of Morphed Faces from Single Images: a Multi-Algorithm Fusion Approach“, in Proceedings of the 2nd International Conference on Biometric Engineering and Applications (ICBEA 2018), Amsterdam, The Netherlands, May 16-18, (2018)

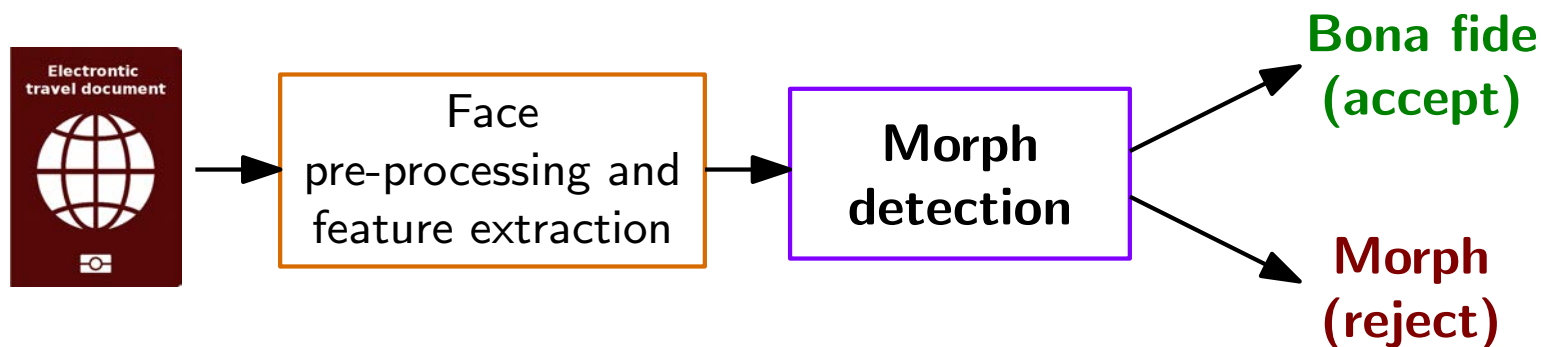
[DSRUB18a] L. Debiasi, U. Scherhag, C. Rathgeb, A. Uhl, C. Busch: "PRNU-based Detection of Morphed Face Images", in Proceedings of 6th International Workshop on Biometrics and Forensics (IWBF 2018), Sassari, IT, June 7-8, (2018)

[DSRUB18b] L. Debiasi, C. Rathgeb, U. Scherhag, A. Uhl, C. Busch: "PRNU Variance Analysis for Morphed Face Image Detection", in Proceedings of 9th International Conference on Biometrics: Theory, Applications and Systems (BTAS 2018), Los Angeles, US, October 22-25, (2018)

MAD Evaluations on Single Digital Images

Our submission to NIST-FRVT-MORPH:

- Classifiers for single image analysis
- **No-reference** morph detection
 - ▶ One **single** facial **image** is analysed (e.g. in the passport application office)



MAD Evaluations on Single Digital Images

Our submission to NIST-FRVT-MORPH:

- LBP-MAD classifier for single image analysis
 - ▶ no-reference scenario
- feature vector
 - ▶ 4 x 4 histograms, 256 values each
 - ▶ Normalized histograms
- trained SVM on
 - ▶ 1000 original images from FERET and FRGCv2
 - ▶ 1000 morphs from FERET and FRGCv2
 - 2 morphing algorithms
 - 4 different post processing methods
- tested on
 - ▶ 1000 original images from FERET and FRGC
 - ▶ 1000 morphs from FERET and FRGC

We reach BPCER = 5.25% @ APCER = 5.80%

MAD Evaluations on Single Digital Images

Our submission to NIST-FRVT-MORPH:

- PRNU-MAD classifier for single image analysis
 - ▶ no-reference scenario
- feature vector
 - ▶ Noise residuals
- trained SVM on
 - ▶ 1000 original images from FERET and FRGCv2
 - ▶ 1000 morphs from FERET and FRGCv2
 - 2 morphing algorithms
 - 4 different post processing methods
- tested on
 - ▶ 1000 original images from FERET and FRGC
 - ▶ 1000 morphs from FERET and FRGC

We reach BPCER = 5.6% @ APCER = 4.6%

What needs to be Done ?

Evaluations must consider the printing process

- There are numerous parameters to explore for this

*result = f (dataset-training, dataset-testing, morphing-attack,
landmark-detector, feature-extractor, classifier,
scenario (no-reference vs. differential),
post-processing, **printer, scanner**)*



Printer / Scanner of choice

Resolution (spatial sampling rate)

What needs to be Done ?

Multiple dimensions to explore:

- Large scale datasets evaluation in NIST FRVT MORPH
- **Generalisation** on public datasets
 - FERET, FRGCv2, FEI, ARface
- Morphing mechanism
 - Fantamorph, OpenCV, Splicing, GIMP, ...
- **Number** of contributing **subjects** (broker model)
- The most effective **alpha-factor** (50:50 or 20:80)
- Random or **lookalike** morphs
 - Same gender, same skin-color as selection criteria
- Digital samples versus digital-analog-digital transition

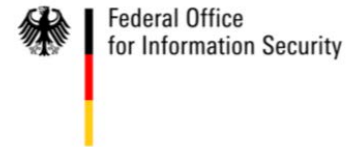
Publications available <https://www.christoph-busch.de/projects-mad.html>

- L. Debiasi, C. Rathgeb, U. Scherhag, A. Uhl, C. Busch: "PRNU Variance Analysis for Morphed Face Image Detection", in Proceedings of 9th International Conference on Biometrics: Theory, Applications and Systems (BTAS 2018), Los Angeles, US, October 22-25, (2018)
- R. Raghavendra, S. Venkatesh, K. Raja, C. Busch: "Detecting Face Morphing Attacks with Collaborative Representation of Steerable Scale-Space Features", in Proceedings of 3rd International Conference on Computer Vision and Image Processing (CVIP 2018), Japalpur, IN, September 29 - October 1, (2018)
- U. Scherhag, D. Budhrani, M. Gomez-Barrero, C. Busch: "Detecting Morphed Face Images Using Facial Landmarks", in Proceedings of International Conference on Image and Signal Processing (ICISP 2018), Cherbourg, FR, July 2-4, (2018)
- U. Scherhag, C. Rathgeb, C. Busch: "Performance Variation of Morphed Face Image Detection Algorithms across different Datasets", in Proceedings of 6th International Workshop on Biometrics and Forensics (IWBF 2018), Sassari, IT, June 7-8, (2018)
- L. Debiasi, U. Scherhag, C. Rathgeb, A. Uhl, C. Busch: "PRNU-based Detection of Morphed Face Images", in Proceedings of 6th International Workshop on Biometrics and Forensics (IWBF 2018), Sassari, IT, June 7-8, (2018)
- U. Scherhag, C. Rathgeb, C. Busch: „Detection of Morphed Faces from Single Images: a Multi-Algorithm Fusion Approach“, in Proceedings of the 2nd International Conference on Biometric Engineering and Applications (ICBEA 2018), Amsterdam, The Netherlands, May 16-18, (2018)
- U. Scherhag, C. Rathgeb and C. Busch: „Towards Detection of Morphed Face Images in electronic Travel Documents“, in Proceedings of the 13th IAPR International Workshop on Document Analysis Systems (DAS 2018), Vienna, Austria, April 24-27, (2018)
- M. Gomez-Barrero, C. Rathgeb, U. Scherhag, C. Busch: „Predicting the Vulnerability of Biometric Systems to Attacks based on Morphed Biometric Samples“, in IET Biometrics, (2018)
- C. Rathgeb, C. Busch: "On the Feasibility of Creating Morphed Iris-Codes", in Proceedings of International Joint Conference on Biometrics (IJCB 2017), Denver, Colorado, October 1-4, (2017)
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