

The iMARS Project and its Relevance to the European EES in Operation

Christoph Busch

copy of slides available at:

<https://christoph-busch.de/about-talks-slides.html>

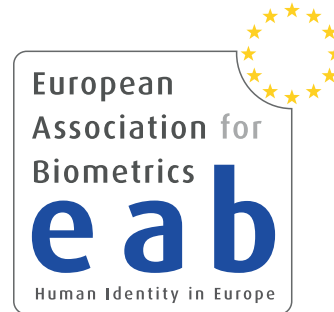
latest news at:

https://twitter.com/busch_christoph

FRONTEX ICBB 2020, December 3, 2020

About my Affiliation(s)

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Science and Technology

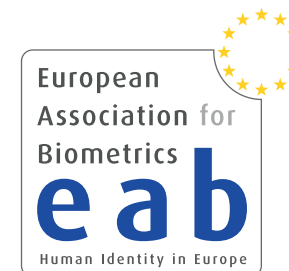


NORWEGIAN BIOMETRICS LABORATORY

Introduction

European Association for Biometrics (EAB)

- The EAB is a **non-profit**, nonpartisan **association**
<https://eab.org/>
- **EAB** supports all sections of the ID community across Europe, including **governments**, NGO's, **industry**, associations and special interest groups and **academia**.
- Our role is to promote the **responsible use** and adoption of modern **digital identity systems** that enhance people's lives and drive economic growth.



Introduction



European Association for Biometrics (EAB)

- Our **initiatives** are designed to foster **networking**
 - ▶ Annual conference: EAB-RPC
<https://eab.org/events/program/195>
 - ▶ Biometric Training Event
<https://eab.org/events/program/208>
 - ▶ Workshops on relevant topics (e.g. Presentation Attack Detection, Morphing Attack Detection, Sample Quality, Bias in Biometric Systems)
<https://eab.org/events/>
 - ▶ Online Seminar every second week
<https://eab.org/events/program/227>
 - ▶ Recorded keynote talks
<https://eab.org/events/lectures.html>
 - ▶ Monthly newsletter
<https://eab.org/news/newsletter.html>
 - ▶ Annual academic graduation report
<https://eab.org/upload/documents/1799/EAB-research-report-2019.pdf>
 - ▶ Open source repository
<https://eab.org/information/software.html>



Gian Luca Marcialis
Fingerprint Presentation Attacks Detection in the Deep Learning Era: a "LivDet" Story
21 October 2020 Online Seminar

Lecture



Pavel Korshunov
DeepFake Detection: Humans vs. Machine
06 October 2020 Online Seminar

Lecture



Jim Wayman
Introduction to Biometrics
17 September 2020 Virtual EAB BIOMETRICS TRAINING EVENT

Lecture



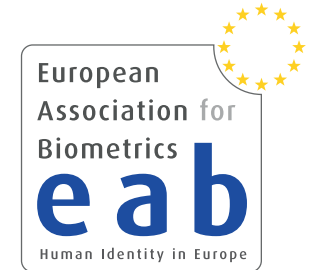
Patrick Grother
Overview Biometric Standards in ISO: IEC JTC1 SC37
15 September 2020 Virtual RPC 2020

Lecture

Introduction

European Association for Biometrics (EAB)

- Key stakeholders of EAB are „**standardisation enthusiasts**“ in ISO/IEC JTC1 SC37
- Key stakeholder of EAB are core members of European **research** projects on pressing operational problems and vulnerabilities of large scale systems like VIS and EES
- Project examples are
 - ▶ TReSPAsS ETN on secure and privacy preserving biometrics
<https://www.trespass-etn.eu/>
 - ▶ iMARS on morphing attack detection
<https://cordis.europa.eu/project/id/883356>



Overview

Agenda

- Introduction
 - ▶ EAB
 - ▶ fields of initiatives
- Biometric capture process in the EES
- Presentation attack detection
- Morphing attack detection
- Face sample quality

Introduction

Definition of a biometric capture device

- **biometric capture device:**

- ▶ *device that collects a signal from a **biometric characteristic** and converts it to a **captured biometric sample***
- ▶ Note 1 to entry: A signal can be generated by the biometric characteristic or generated elsewhere and affected by the biometric characteristic, for example, face illuminated by incident light.
- ▶ Note 2 to entry: A biometric capture device can be any piece of hardware (and supporting software and firmware).
- ▶ Note 3 to entry: A biometric capture device may comprise components such as an illumination source, one or more biometric sensors, etc.

<https://www.iso.org/obp/ui/#iso:std:iso-iec:2382:-37:ed-2:v1:en:term:3.4.1>

Introduction

The capture environment may change

- **Fingerprint** capture process
- Acquisition under **controlled conditions**
 - ▶ Data subject
 - ▶ Police officer
 - ▶ Analog or digital biometric sample
 - subsequently scanned
 - ▶ **Controlled** distance and environmental conditions



Image Source: BKA

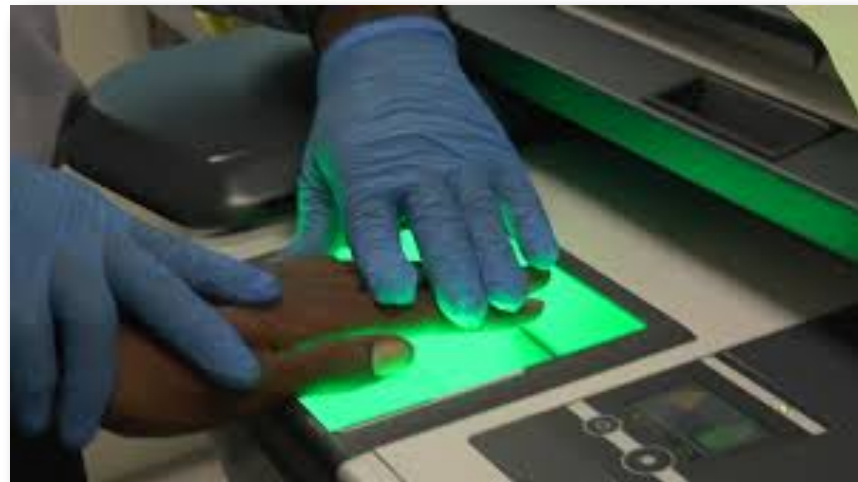


Image Source: biometricupdate.com

Introduction

The capture environment may change

- **Face** capture process
- Acquisition **in the wild**:
 - ▶ Data subject with **uncontrolled** pose, occlusions (head cover, sun glasses)
 - ▶ Unknown **distance** subject to the capture device
 - ▶ **Low resolution** images
 - 90 pixel inter eye distance?



Introduction

The EES self-service kiosk

- Is that **capture in the wild**?
- Is that **controlled** conditions?



Image Source: secunet



Image Source: Thales Gemalto



Image Source: Idemia



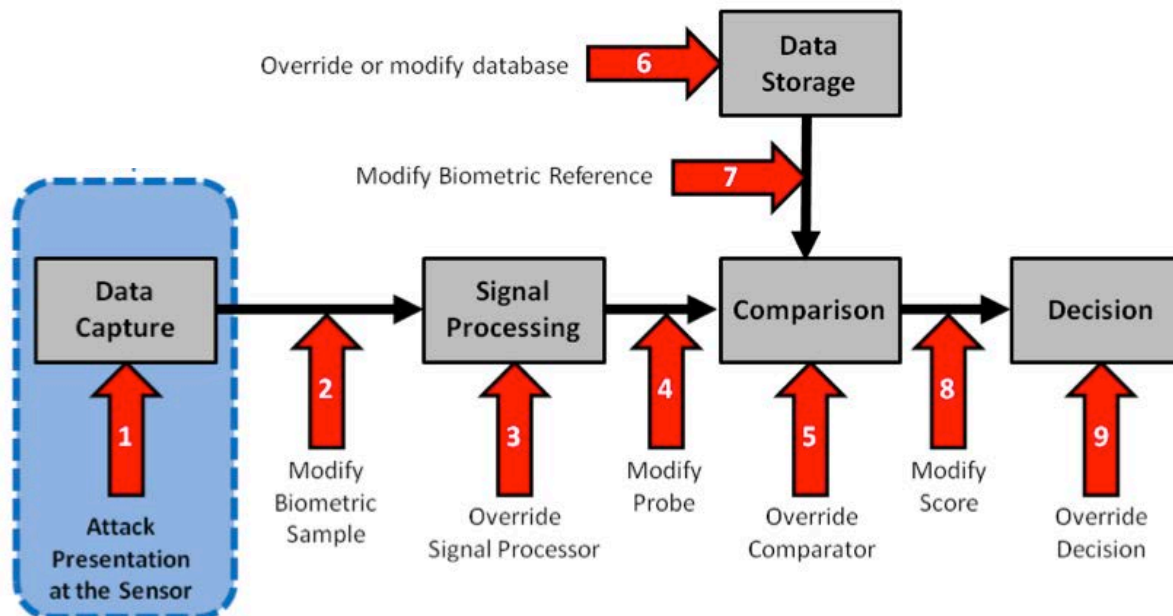
Image Source: Vision-Box

EES-kiosk enrolment systems are certainly not in the wild ...
... but also **not** controlled (without attendance of a supervisor).
Thus we must look at the vulnerabilities of biometric systems!

Vulnerabilities of Biometric Systems

Three main points for a targeted attack

- Capture device (1): Camera, fingerprint sensor
 - Countered by **presentation attack detection**
- Data transmission (2): Network
 - Attacks on data transmission channel countered by cryptographic protocols
 - Enrolment attacks (i.e. **face morphing attacks**) need to be countered
- Data storage (6): Database
 - Countered by biometric template protection



Source: ISO/IEC 30107-1:2016

Presentation Attack Detection in non-supervised Data Capture Situations (e.g. Kiosks)

Security of Fingerprint Sensors

Attack **without** support of an enrolled individual

- Recording of an analog fingerprint from flat surface material
 - z.B. glass, CD-cover, etc. with iron powder and tape
- Scanning and post processing:
 - Correction of scanning errors
 - Closing of ridge lines (as needed)
 - Image inversion
- Print on transparent slide
- Photochemical production of a circuit board

**Historic -
Year 2000 !**



[Zwie2000] A. Zwiese, A. Munde, C. Busch, H. Daum: "Comparative Study of Biometric Identification Systems"
In: 34th Annual 2000 IEEE International Carnahan Conference on Security Technology, Ottawa, pp. 60-63, (2000)

Presentation Attack Detection

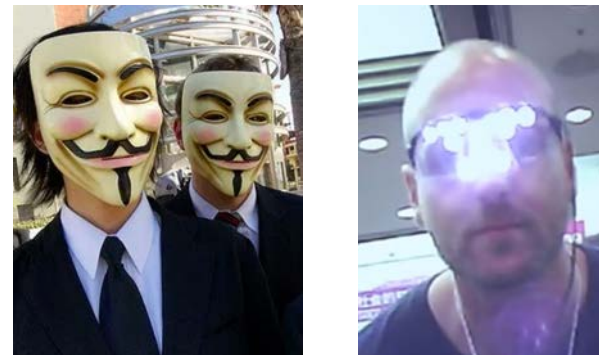
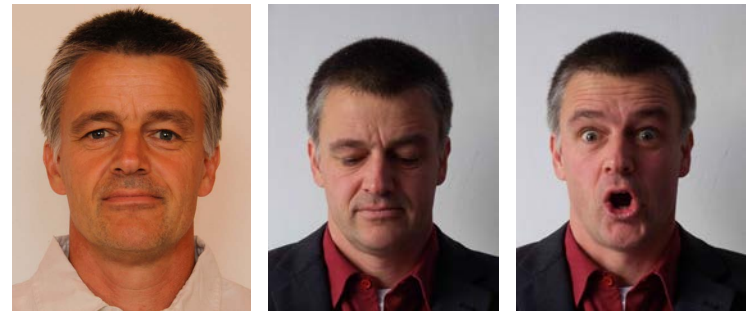
Impostor

- impersonation attack
 - ▶ positive access 1:1 (two factor application)
 - ▶ **positive access 1:N** (single factor application)
- finding a look-a-like
- making appearance similar to the reference
- artefact presentation



Concealer

- evasion from recognition
 - ▶ negative 1:N identification (watchlist application)
- depart from standard pose
- evade face detection



Presentation Attack Detection

ISO/IEC 30107-1 - Definitions

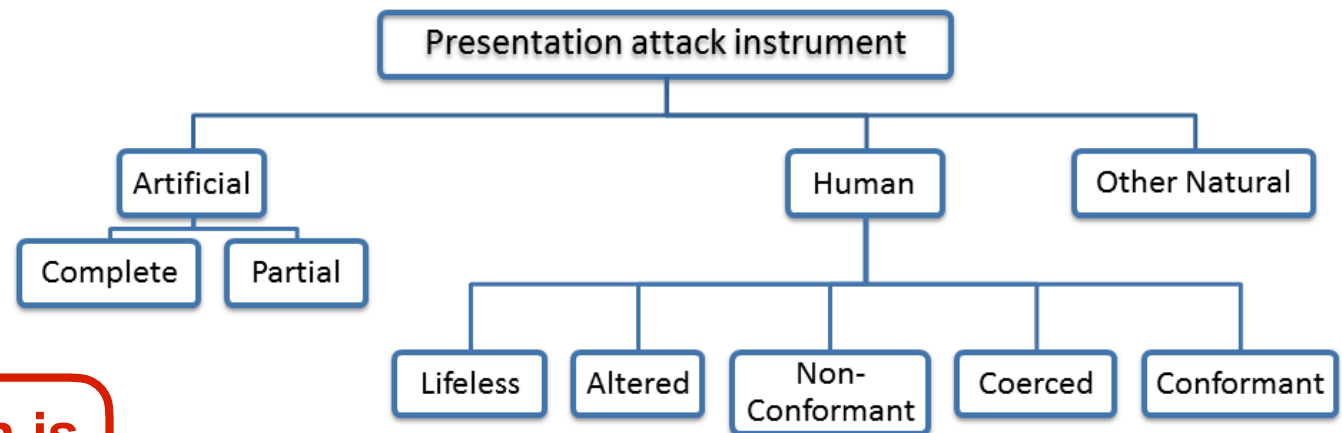
- **presentation attack instrument (PAI)**
*biometric characteristic or **object** used in a presentation attack*
- **artefact**
*artificial object or representation presenting a **copy** of biometric characteristics or synthetic biometric patterns*

Types of presentation attacks

(General Noun)

(Adjectives describing categories)

(Qualifying adjectives)



**liveness detection is
NOT sufficient**

Source: ISO/IEC 30107-1

Altered Fingerprint Detection - Testing

Example for fingerprint **alterations**

- Z-shaped alteration (Finger of Jose Izquierdo, 1995)



Image Source: S. Yoon, J. Feng, and A. Jain, "Altered fingerprints: Analysis and detection,"
IEEE Transactions on Pattern Analysis and Machine Intelligence, vol. 34, no. 3, pp. 451–464, Mar. 2012

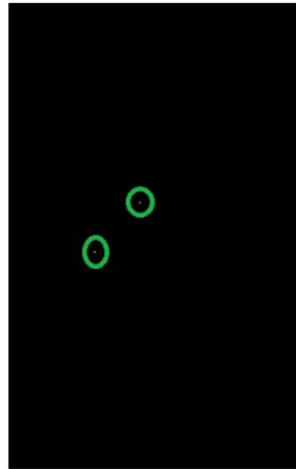
Altered Fingerprint Detection - Algorithms

Singular Point Density Analysis

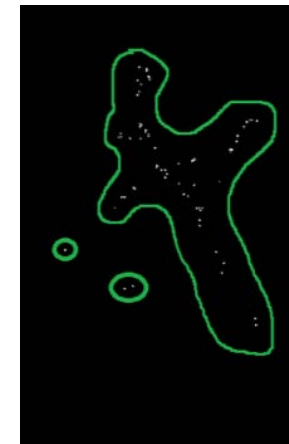
- using the **Poincare' index** to detect noisy friction ridge areas



BonaFide fingerprint



altered fingerprint



Poincare' index response

[Ellingsg2014] J. Ellingsgaard, C. Sousedik, and C. Busch, "Detecting fingerprint alterations by orientation field and minutiae orientation analysis," in Proc. IWBF, Valletta, Malta, (2014)

[Ellingsg2017] J. Ellingsgaard, C. Busch: "Altered Fingerprint Detection", in Handbook of Biometrics for Forensic Science, Springer, February, (2017)

Face Presentation Attacks



**Historic -
Year 2010!**

Impostor Presentation Attack

3D silicone mask

- Targeted attack with 3D silicone custom mask
- Cost more than 3000 USD



Skin Detection with Dedicated Sensor

Short Wave Infrared Range (SWIR) imaging

- Analysis of spectral remission properties
- Remission spectrum above 1200 nm **independent of melanin**, but strongly impacted by water

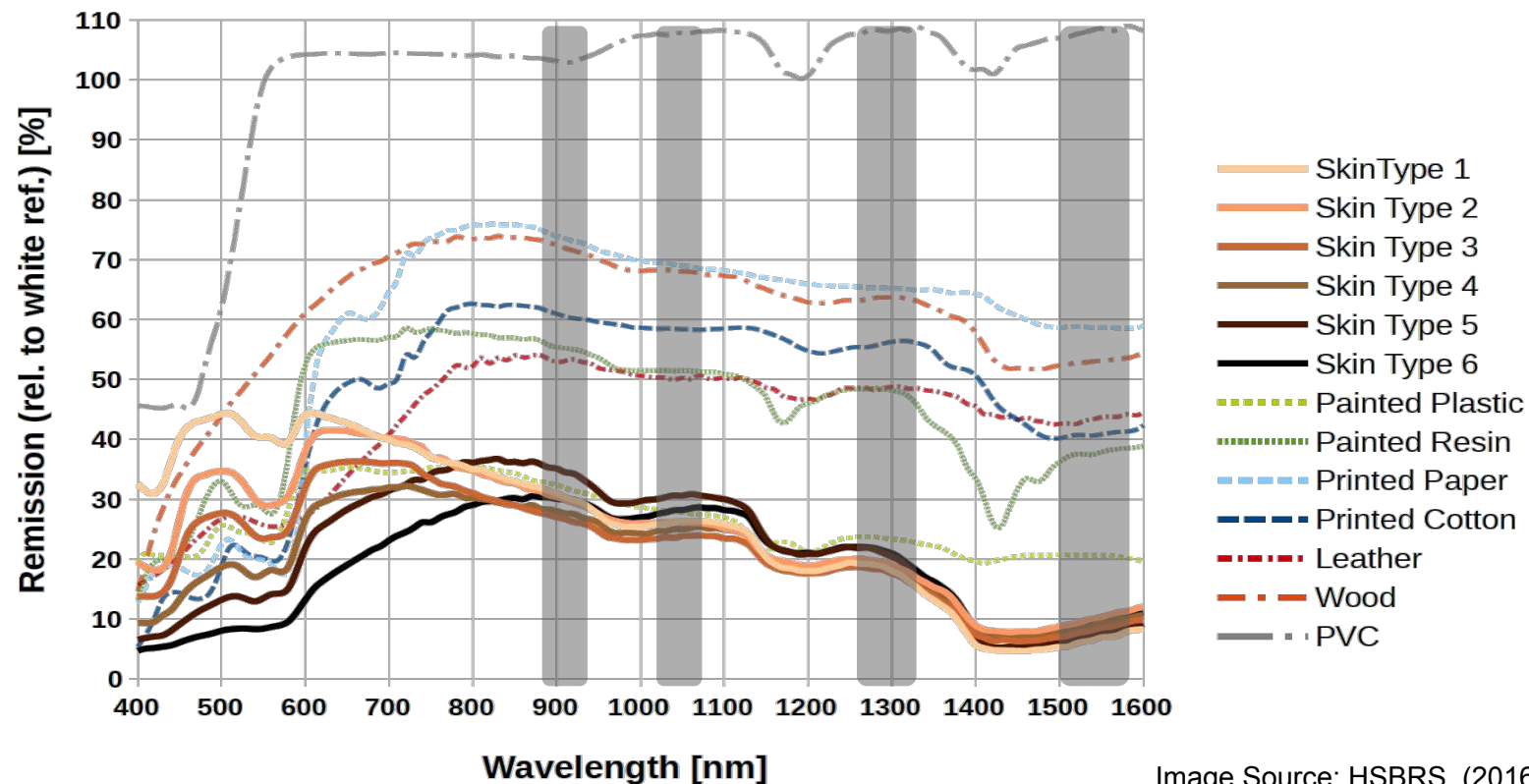


Image Source: HSBRS, (2016)

[Steiner2016] H. Steiner, A. Kolb, N. Jung: „Reliable Face Anti-Spoofing Using Multispectral SWIR Imaging“, in Proceedings ICB, (2016)

Skin Detection

Short Wave Infrared Range (SWIR) imaging

- Computing a **signature** from four spectral bands
 - Transform spectral remission to normalized differences
 - False color images based on three channel differences

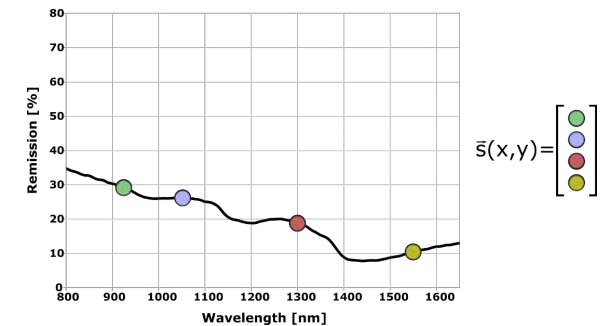
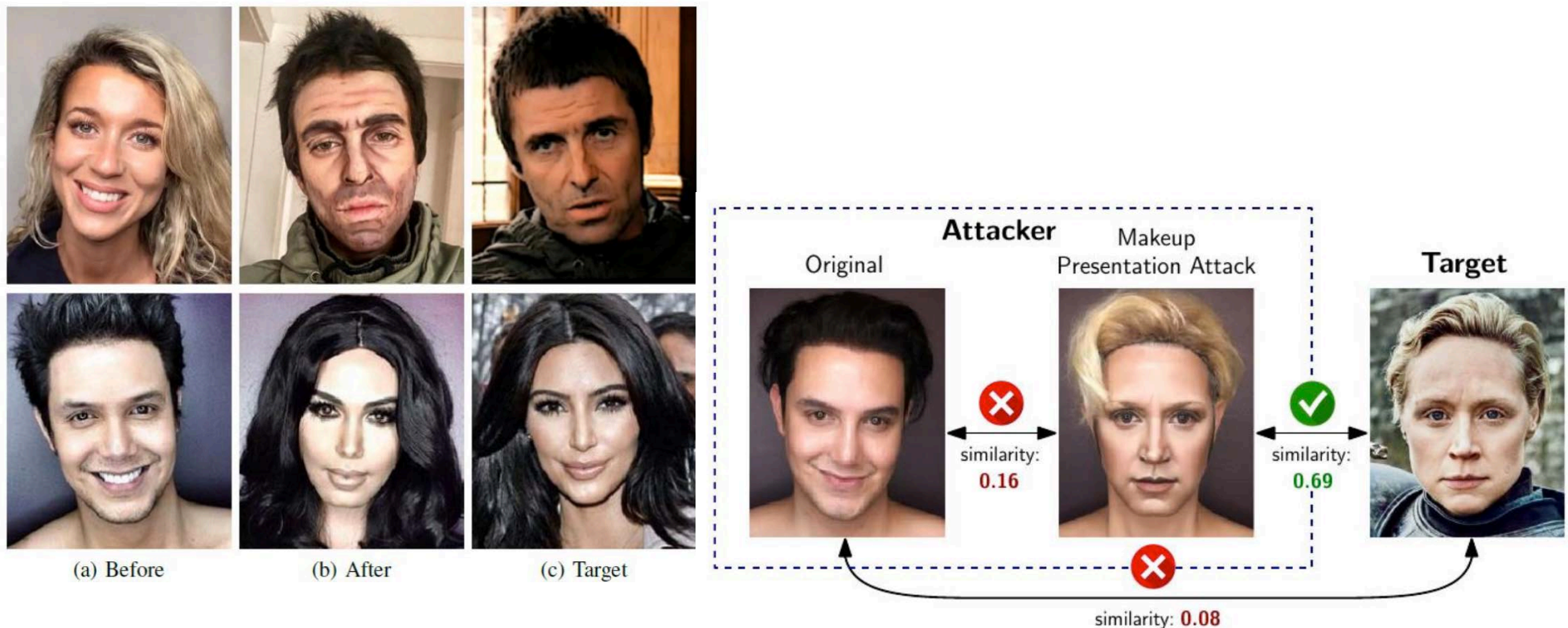


Image Source: HSBRS, (2016)

Makeup Presentation Attacks

Severe alterations

- **Makeup** for impersonation
- Liveness detection is not sufficient
- Detection difficult since **bona fide users** may **also apply** makeup



[RDB2020] C. Rathgeb, P. Drozdowski, C. Busch: "Detection of Makeup Presentation Attacks based on Deep Face Representations", in Proceedings of 25th International Conference on Pattern Recognition (ICPR), (2020)

Face Presentation Attack Instruments

High end 3D silicone mask



Source: Impostor mask - <https://www.youtube.com/watch?v=XOFYSHjXYAI>



Source: Concealor mask from amazon.ca for CDN\$ 244

Enrolment Attacks

Face Morphing

Problem: Morphing Attacks

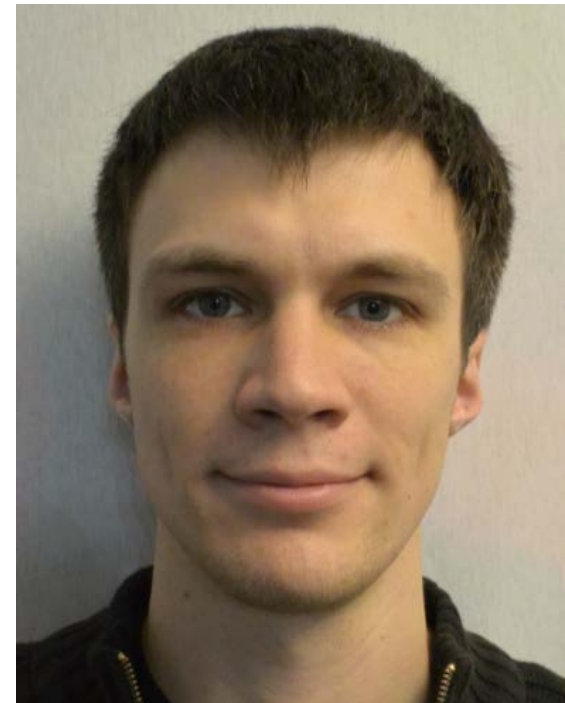
Enrolment attack with morphed facial images



Subject A



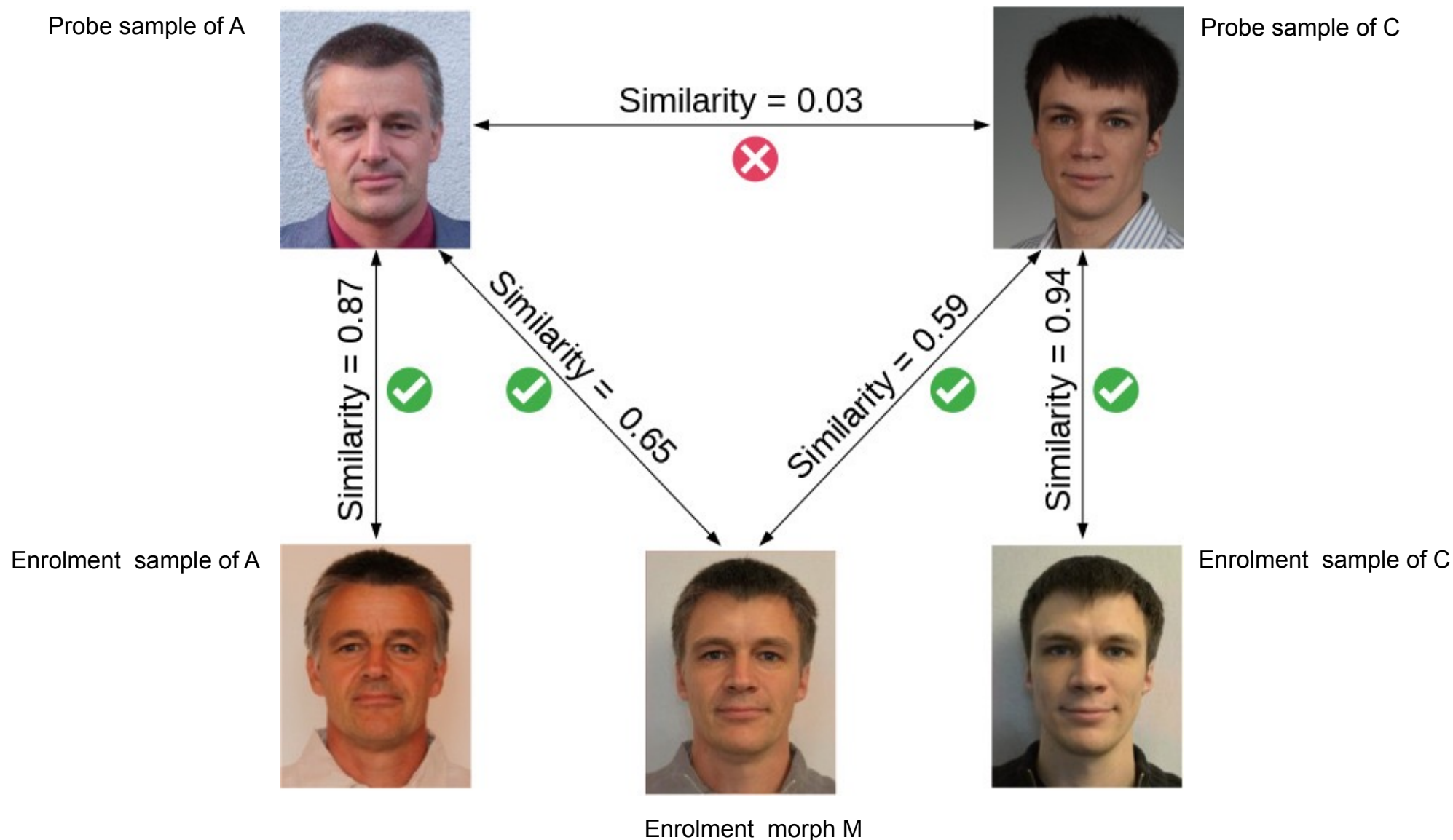
Morph = Subject A + Subject C



Subject C

Problem: Morphing Attacks

Verification against morphed facial images



Problem: Morphing Attacks

Is it a really problem ? - **YES!**

- In September 2018 German **activists**
 - ▶ used a morphed images of Federica Mogherini (High representative of the European Union for Foreign Affairs and Security Policy) and a member of their group
 - ▶ and received an **authentic German passport**.



Image source: <https://www.spiegel.de/netzwelt/netzpolitik/biometrie-im-reisepass-peng-kollektiv-schmuggelt-fotomontage-in-ausweis-a-1229418.html>

Problem: Morphing Attacks

Is it a really problem ? - YES!

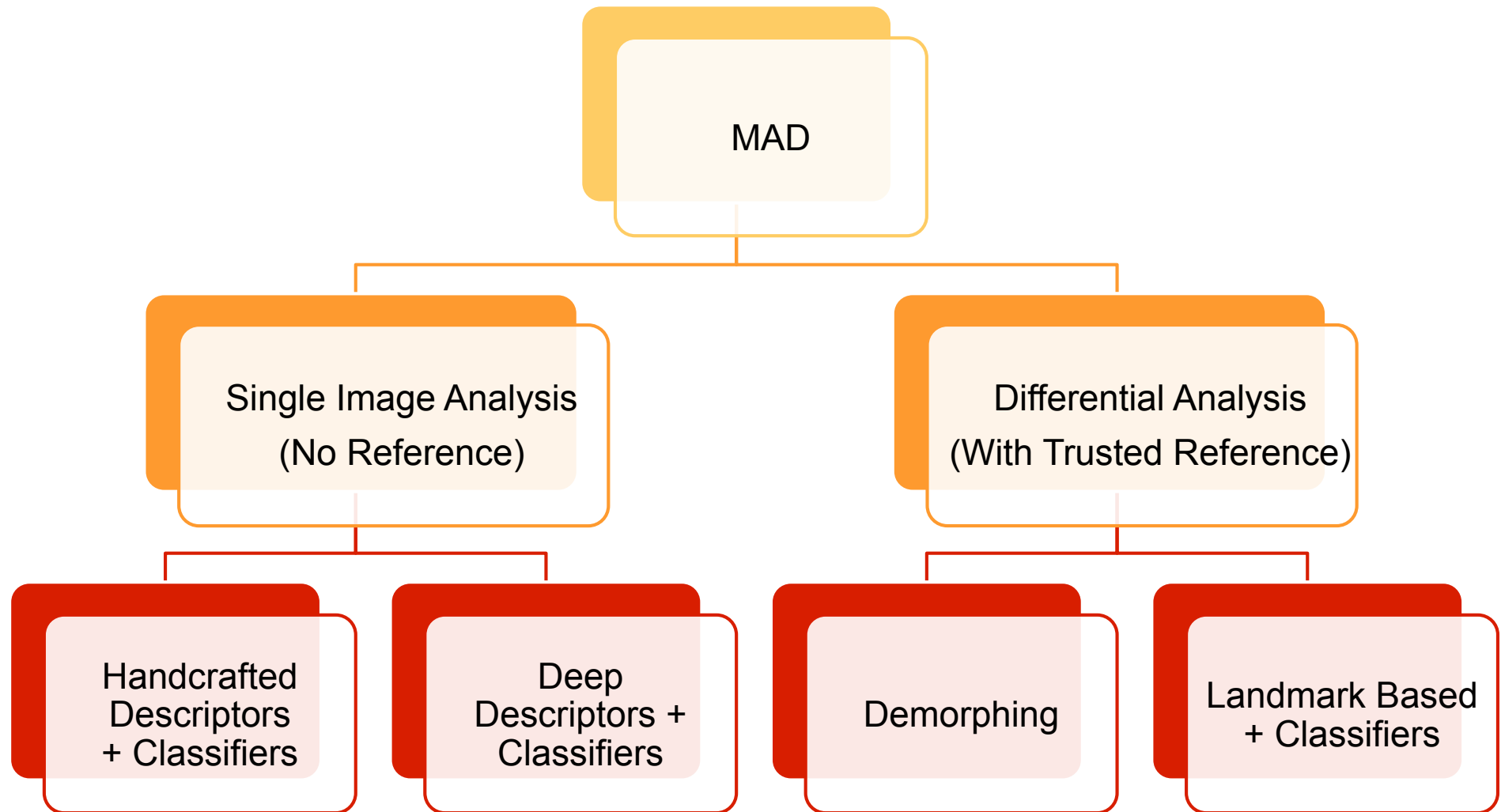
- In September 2018 German activists
 - ▶ used a morphed images of Federica Mogherini (High representative of the European Union for Foreign Affairs and Security Policy) and a member of their group
 - ▶ and received an authentic German passport.

Statement at the Frontex ICBB on Dec 2nd:

„We might import the facial image from the passport of the traveller into our entry/exit control system“

State of the Art - MAD Algorithms

Taxonomy of **Morphing Attack Detection** (MAD)

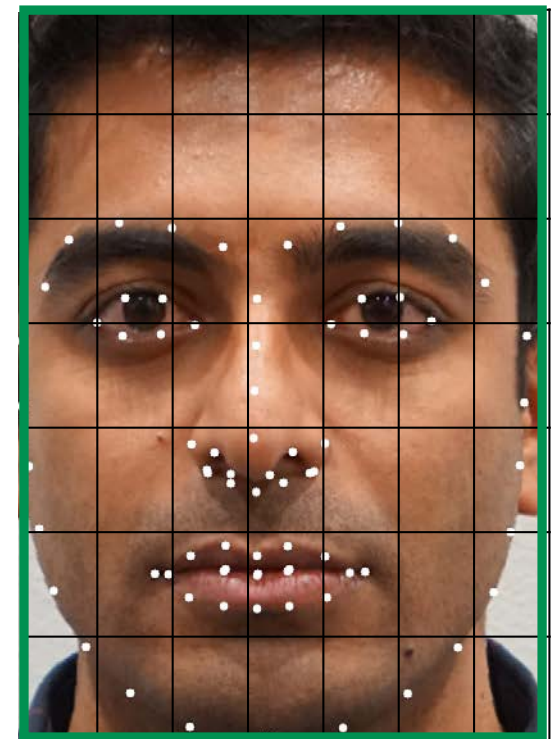
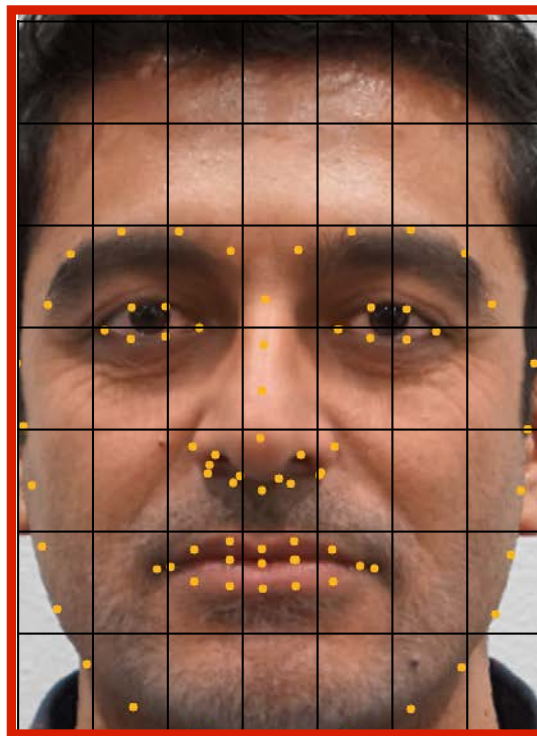
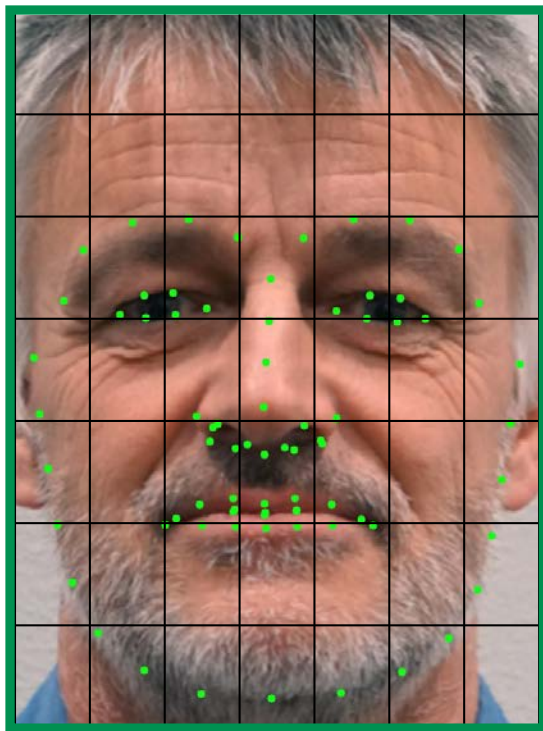
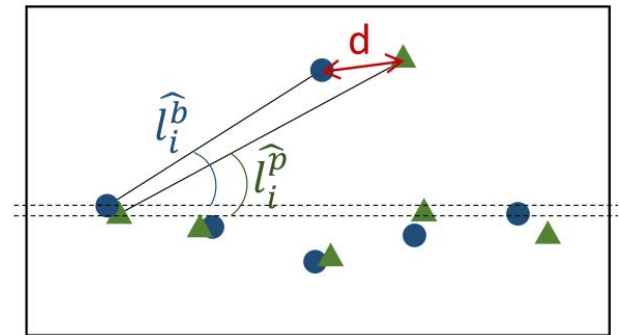


[SRMBB2019] U. Scherhag, C. Rathgeb, J. Merkle, R. Breithaupt, C. Busch: "Face Recognition Systems under Morphing Attacks: A Survey", in IEEE Access, (2019)

Differential Morphing Attack Detection

D-MAD with landmark analysis

- **Angle** based features
- **Distance** based features

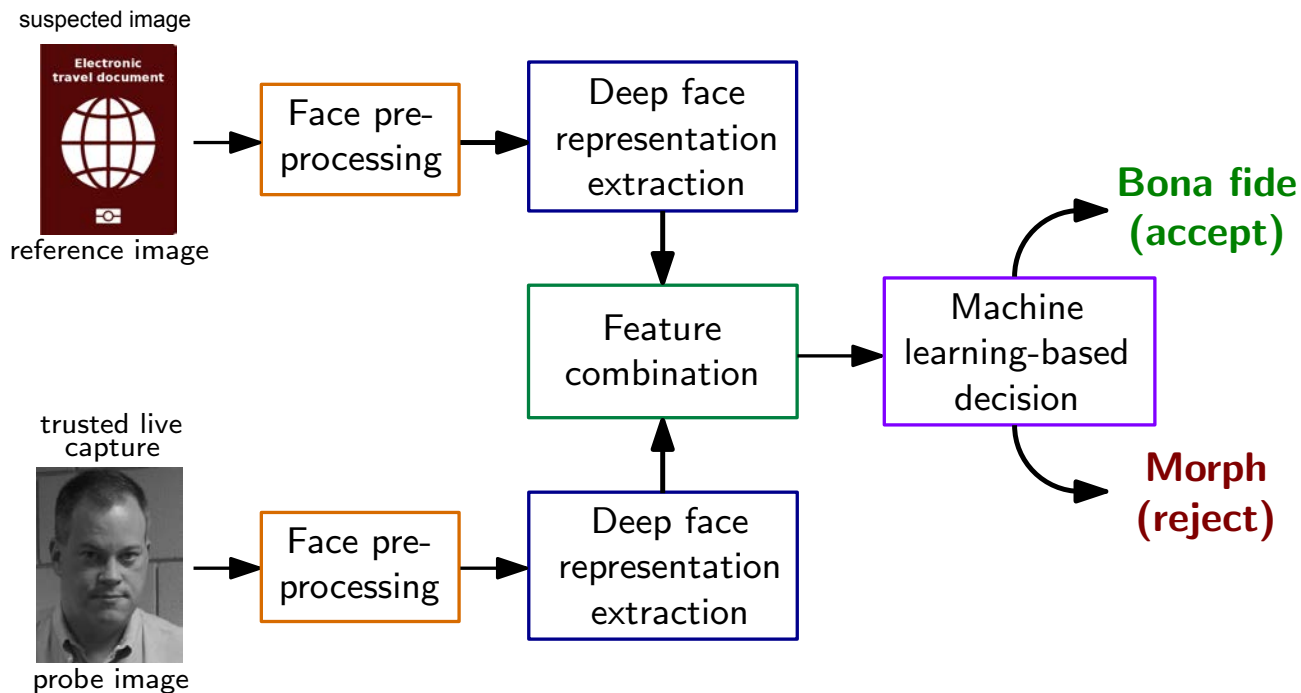


[SDGB2018] U. Scherhag, D. Budhrani, M. Gomez-Barrero, C. Busch: "Detecting Morphed Face Images Using Facial Landmarks", in Proceedings of International Conference on Image and Signal Processing (ICISP), (2018)

Differential Morphing Attack Detection

D-MAD with deep learning

- **Deep Face** representations of Deep CNNs



- ▶ Deep representations extracted by the neural network (on the lowest layer)
- ▶ Feature space with **small dimension**: 512 (for ArcFace and FaceNet)
- ▶ SVM with radial basis function

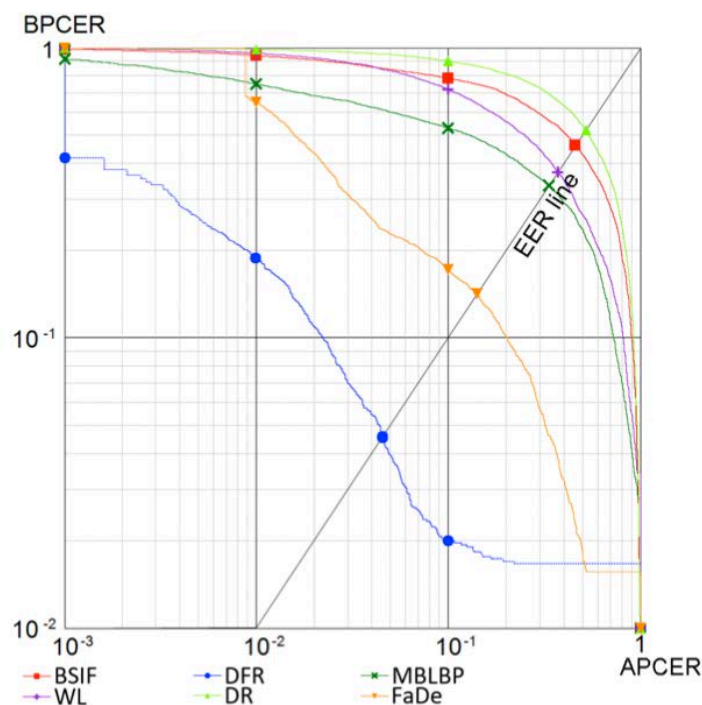
[SRMB2020] U. Scherhag, C. Rathgeb, J. Merkle, C. Busch: "Deep Face Representations for Differential Morphing Attack Detection", in IEEE Transactions on Information Forensics and Security (TIFS), (2020)

State of the Art - MAD Algorithms

Detection **accuracy** - focused on **D-MAD**

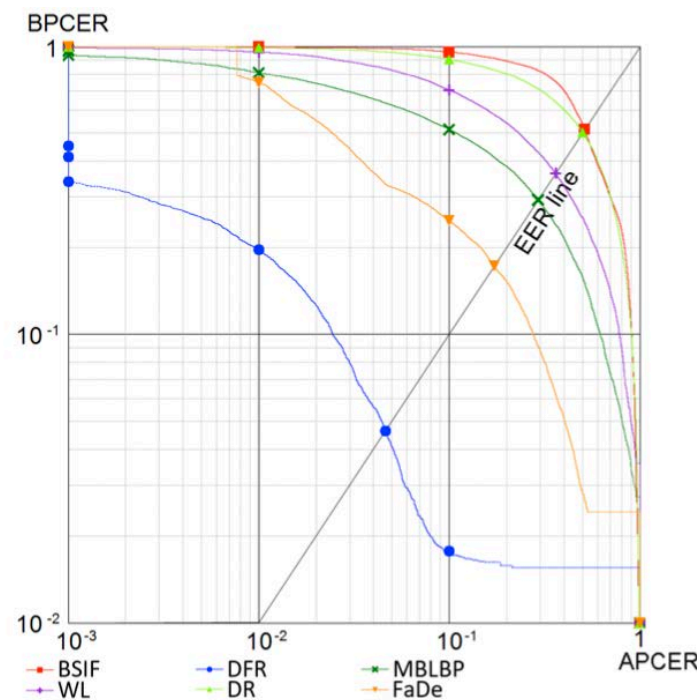
<https://biolab.csr.unibo.it/FVCOngoing/UI/Form/BenchmarkAreas/BenchmarkAreaDMAD.aspx>

- **Digital**



SOTAMD_D-1.0

Print and scanned



D-MAD-SOTAMD P&S-1.0.

[Raja2020] K. Raja, M. Ferrara, A. Franco, L. Spreeuwens, I. Batskos, F. Wit, M. Gomez-Barrero, U. Scherhag, D. Fischer, S. Venkatesh, J. Singh, G. Li, L. Bergeron, S. Isadskiy, R. Raghavendra, C. Rathgeb, D. Frings, U. Seidel, F. Knopjes, R. Veldhuis, D. Maltoni, C. Busch: "Morphing Attack Detection - Database, Evaluation Platform and Benchmarking", in IEEE Transactions on Information Forensics and Security (TIFS), (2020)

<https://arxiv.org/abs/2006.06458>

PAD: Standardized Testing Metrics

Definition according to ISO/IEC 30107-3

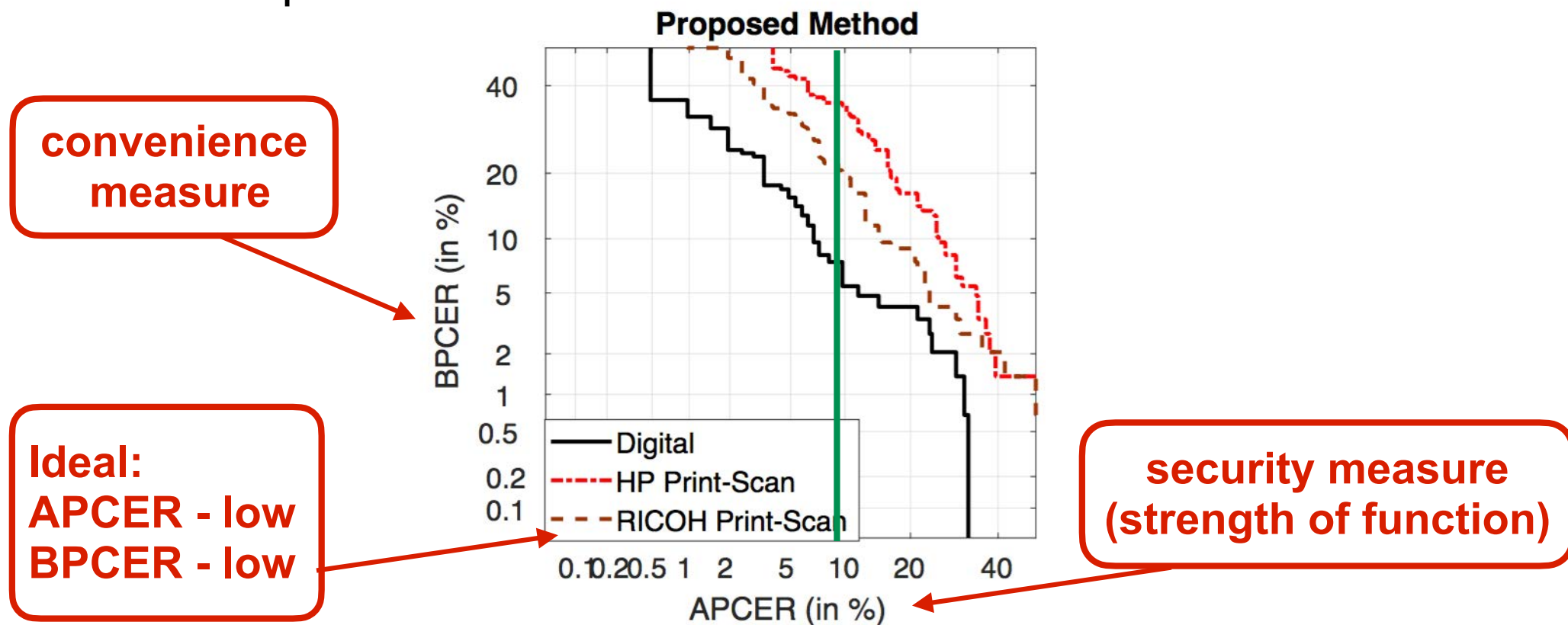
- Testing the false-negative and false-positive errors:
- **Attack presentation classification error rate (APCER)**
*proportion of **attack presentations** using the same PAI species incorrectly **classified as bona fide presentations** in a specific scenario*
- **Bona fide presentation classification error rate (BPCER)**
proportion of bona fide presentations incorrectly classified as attack presentations in a specific scenario

source: ISO/IEC 30107-3, “Biometric presentation attack detection - Part 3: Testing and reporting”, (2017)
<https://www.iso.org/obp/ui/#iso:std:iso-iec:30107:-3:ed-1:v1:en>

Standardized Testing Metrics

Definition of metrics in ISO/IEC 30107-3

- DET curve analyzing operating points for various thresholds and plot **security** measures versus **convenience** measures
- Example:



Source: R. Raghavendra, K. Raja, S. Venkatesh, C. Busch: "Transferable Deep-CNN features for detecting digital and print-scanned morphed face images", in Proceedings of 30th International Conference on Computer Vision and Pattern Recognition Workshop (CVPRW 2017), Honolulu, Hawaii, July 21-26, (2017)

The Key Figures

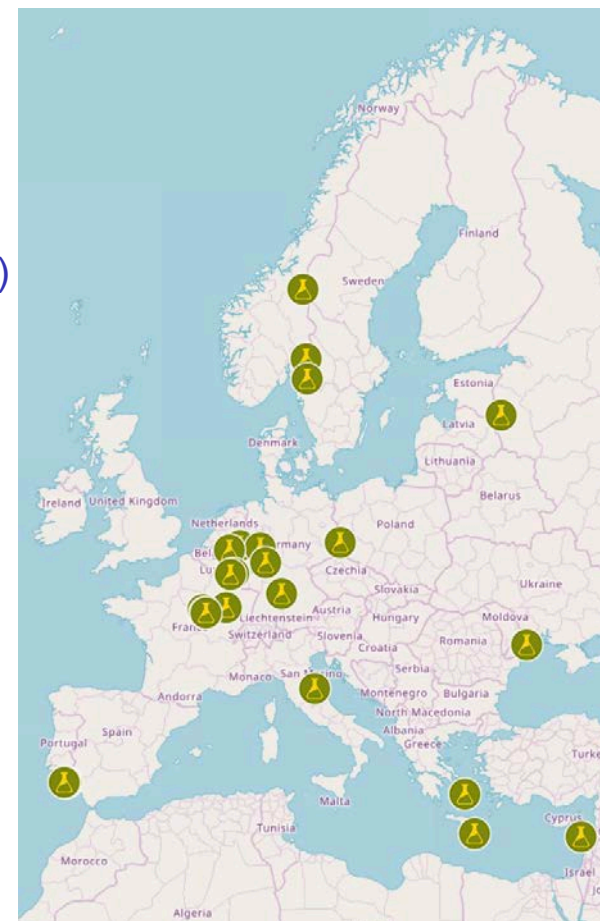
iMARS project

- Start date: 1 September 2020
- End date: 31 August 2024
- H2020-SU-SEC-2019
- Grant agreement ID: 883356
- Programme(s):
 - ▶ H2020-EU.3.7.3. - Strengthen security through border management
 - ▶ H2020-EU.3.7.8. - Support the Union's external security policies including through conflict prevention and peace-building
- Topic: SU-BES02-2018-2019-2020 -
Technologies to enhance border and external security
- Overall budget: € 6 988 521,25
- Website: <https://cordis.europa.eu/project/id/883356>
- Twitter: https://twitter.com/iMARS_h2020

The Consortium

24 Partners

- IDM - IDEMIA IDENTITY & SECURITY FRANCE (FR)
- DG - IDEMIA IDENTITY & SECURITY GERMANY (DE)
- COG - COGNITEC SYSTEMS GMBH (DE)
- VIS - VISION BOX (PT)
- MOB - MOBAI AS (NO)
- ART - ARTTIC (FR)
- SUR - SURYS (FR)
- NTN - NORGES TEKNISK-NATURVITENSKAPELIGE UNIVERSITET (NO)
- UBO - UNIVERSITA DI BOLOGNA (IT)
- HDA - HOCHSCHULE DARMSTADT (DE)
- KUL - KATHOLIEKE UNIVERSITEIT LEUVEN (BE)
- IBS - INSTITUTE OF BALTIC STUDIES (EE)
- EAB - EUROPEAN ASSOCIATION FOR BIOMETRICS
- KEM - KENTRO MELETON ASFALIAS (EL)
- BKA - BUNDESKRIMINALAMT (DE)
- NOI - MINISTERIE VAN BINNENLANDSE ZAKEN (NL)
- INC - IMPRENSA NACIONAL (PT)
- POD - POLITIDIREKTORATET (NO)
- PBP - PORTUGUESE IMMIGRATION AND BORDERS SERVICES (PT)
- HEP - HELLENIC POLICE (EL)
- CYP - CYPRUS POLICE (CY)
- PBM - BORDER POLICE OF THE REPUBLIC OF MOLDOVA (MD)
- BFP - POLICE FEDERALE BELGE (BE)



Face Sample Quality

Factors impacting Quality

Fingerprint sample quality

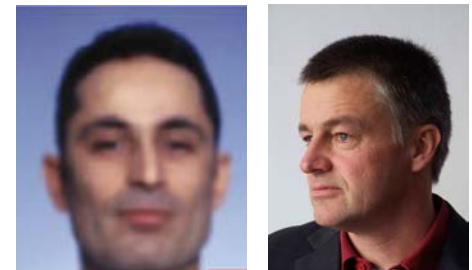
- Defect caused by the source
 - **Skin condition**, such as moist, scares blisters etc.
- Defect caused by the capture **device**
 - Sampling error, low contrast
- Defect caused by the capture **subject's behaviour**
 - Improper finger placement (too low, rotated, etc)



EU-ID 2019/329: „*assess quality with NFIQ2.0*“ a.k.a. *ISO/IEC 29794-4*

Face sample quality

- Image capture system out of focus
- No frontal perspective

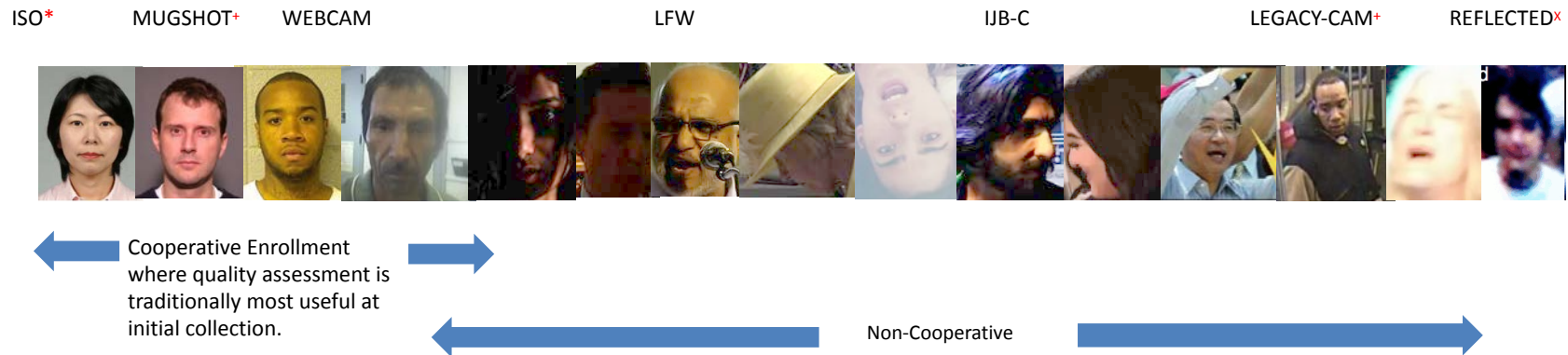


EU-ID 2019/329: „*quality shall comply with ISO/IEC 19794-5:2011*“ ???

ISO/IEC 29794-1 expectation: „*A quality algorithm should convey the predicted utility of the sample*“

Face Image Quality

Flavors of **quality**: good, bad, wild, ugly



Source: P. Grother, 2020

Why do we need face image quality in the first place?

Avoid poor quality data to go into your database !

Source *: <http://webstore.ansi.org>

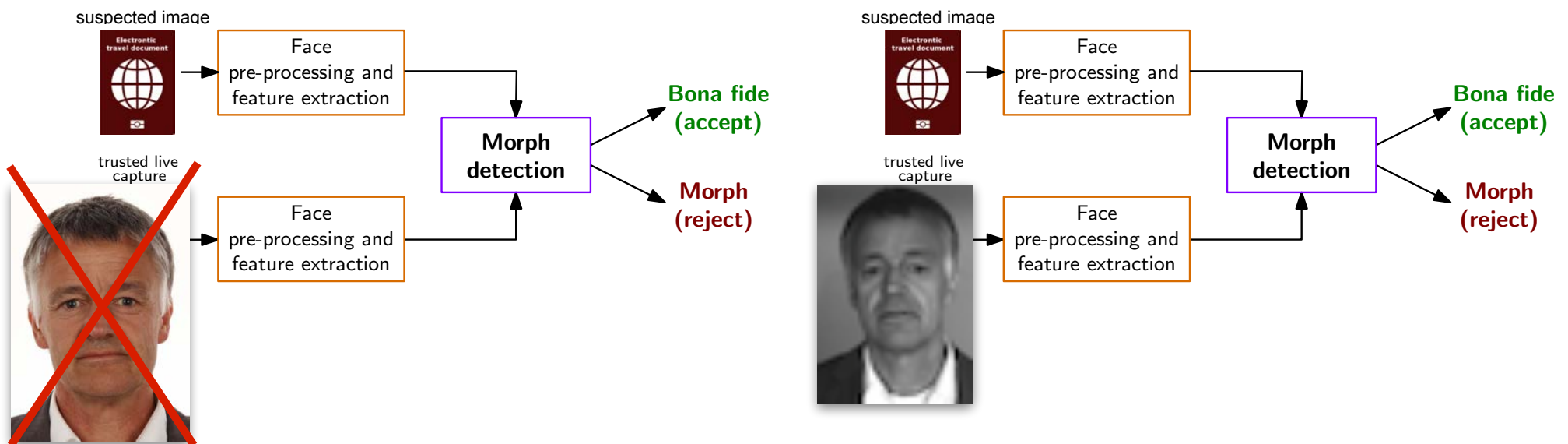
Source +: <http://www.chicagonow.com/cta-tattler/2013/07/chicago-cops-use-face-recognition-software-to-nab-cta-mugger>

Source X <http://io9.com/hidden-faces-can-be-found-by-zooming-into-hi-res-photos-1491607189>

Face Image Quality in the iMARS Project

Robust border control processes

- based on a differential morphing attack analysis, where the **quality** of probe image **varies**.
- Trusted live capture images must be in realistic **degraded** quality!

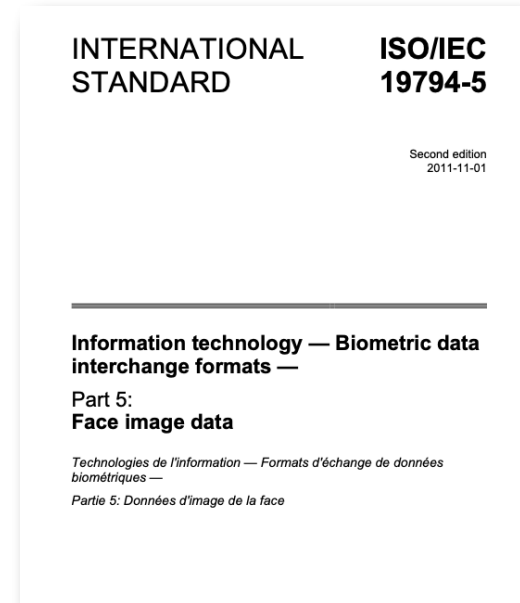


- Quality will impact explicit and implicit D-MAD algorithms

Face Image Quality in the EES

The objective in the EES implementing decision 2019/329

- „*The quality of the facial images, ... and with the image requirements of ISO/IEC 19794-5:2011 Frontal image type*“



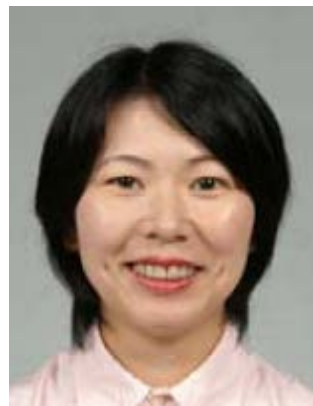
What does that mean?

Data subjects need **actionable feedback**

- If quality is poor, then what went wrong?



ISO Standard



Expression



Gaze



Too close



Pose Angle

Source: <http://webstore.ansi.org>

Quality-Related Standards

Relevant standards

- ISO/IEC 29794-1: Quality Framework
 - ▶ Definitions and evaluation concepts <https://www.iso.org/standard/62782.html>
- ISO/IEC 29794-4: Fingerprint image quality
 - ▶ <https://www.iso.org/standard/62791.html>
 - ▶ NFIQ 2.1
 - <https://github.com/usnistgov/NFIQ2>
 - https://www.nist.gov/system/files/documents/2018/11/29/nfiq2_report.pdf
- ISO/IEC 29794-5: Face image quality
 - ▶ Revision of ISO/IEC 29794-5:2011
 - <https://www.iso.org/standard/81005.html>
 - ▶ Scalar values
 - ▶ Vector values ~ Quantitative ISO/ICAO compliance checklist

Quality-Related Standards

ISO/IEC WD 29794-5 aligned with ISO/IEC 19794-5:2011 ISO/IEC 39794-5:2019

#	Image quality aspect
1	Unified quality score
2	Illumination uniformity
3	Illumination uniformity (alt)
4	Illumination under-exposure
5	Illumination over-exposure
6	Illumination over-exposure (alt)
7	Illumination modulation
8	De-focus
9	Image sharpness
10	Motion blur
11	Edge Density
12	Compression
13	Unnatural colour and colour balance
14	Eyes visible
15	Number of faces present
16	Inter-eye distance
17	Horizontal position of the face
18	Vertical position of the face
19	Background uniformity
20	Pose
21	Expression neutrality
22	Mouth closed
23	Eyes open
24	Developer-defined quality score computation



images with +8 degrees (left) and -8 degrees (right) rotation in roll

Image Source: ISO/IEC 19794-5:2011

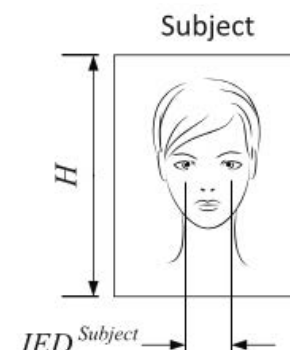


Image Source: ISO/IEC 39794-5



a) Asymmetric shadow on the left



b) Inhomogeneous background



c) Body parts visible behind the head

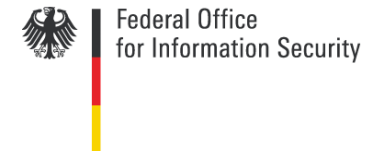
source: ISO/IEC WD 29794-5, Table 2
<https://www.iso.org/standard/81005.html>

source: ISO/IEC 39794-5:2019, Annex D
<https://www.iso.org/standard/72156.html>

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 - ▶ The content of this presentation represents the views of the author only and is his sole responsibility. The European Commission does not accept any responsibility for use that may be made of the information it contains.
- Evaluation and improvement of eu-LISA synthetic biometric datasets



More information

The MAD website

<https://www.christoph-busch.de/projects-mad.html>

The MAD **survey paper**

- U. Scherhag, C. Rathgeb, J. Merkle, R. Breithaupt, C. Busch: "Face Recognition Systems under Morphing Attacks: A Survey", in IEEE Access, (2019)



More information

The Face image quality **survey paper**

- T. Schlett, C. Rathgeb, O. Henniger, J. Galbally, J. Fierrez, C. Busch: "Face Image Quality Assessment: A Literature Survey", in arxiv.org, (2020)
<https://arxiv.org/pdf/2009.01103.pdf>



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