SC37 report on Biometric Data Format and Related Standards

Christoph Busch

- ISO/IEC JTC1/SC37 WG3 Convenor -

January meeting 2025-01-17

Meetings Report

Bertinoro Meeting

- 2024-07-15 to 2024-07-19
- 78 participants

Wellington Meeting

- 2025-01-13 to 2025-01-16
- 71 participants

Biometric Data Interchange Formats

G2 development

Status in 2025

Revision of ISO/IEC 19794-14

RESOLUTION 3.1 - Initiation of Revision process for ISO/IEC 19794-14:2022 standard

WG 3 requests the SC 37 Committee Manager to initiate the revision process for the following standard(s):

This Revision will include data format corrections and metadata enhancements designed to support effective DNA data exchange in Missing Person, DVI, and Mixture Use Cases. These improvements were identified in the Test Report from the Ad Hoc Group on ISO/IEC 19794-14 Testing (WG 3 N1631) and were recommended for inclusion in the revision.

Reference	ISO/IEC 19794-14:2022
(e.g., ISO/IEC xxx)	
Title	Biometric data interchange formats —
	Part 14: DNA data
List Target Dates (e.g. below based on 36 month SDT)	2026-01-05 CD consultation
2025-02-17 WD text	2027-01-15 DIS ballot
2026-01-05 CD consultation	2028-01-31 publication
2027-01-15 DIS ballot	
2028-01-31 publication	
Project Editor (PE)	C.J. Lee
Standards development timeframe	24 month
(e.g. 18, 24, 36 months)	
Will the revision expand the scope of the original project?	No

G3 development

Status in 2025 of ISO/IEC 39794

- Several parts are published
 - Part 1 (Framework)
 - Part 2 (Finger minutiae)
 - Part 4 (Finger image data)
 - ▶ Part 5 (Face image data)
 - Part 6 (Iris image data)
 - Part 9 (Vascular image data)
 - Part 16 (Full body image data)
 - Part 17 (Gait image sequence)
- Parts under development
 - Part 12 (Fingermark image data)

RECOMMENDATION 3.6 - DIS/DAM Registration/Circulation

Reference (e.g. ISO/IEC DIS xxx)	ISO/IEC 39794-2 DAmd 1
Title	Extensible biometric data interchange formats – Part 2: Finger minutiae data - AMD 1: Handling of the on-card biometric comparison format
Project Editor (PE)	Robert Mueller, Olaf Henniger, Andreas Wolf, Markku Metsämäki, Ralph Lessmann
Deadline for submitting DAM text to the SC Committee Manager	15 February 2025
Deadline for PDoC Circulation	As soon as possible after the closure of the DAM ballot
Subsequent stage (if necessary)	2 nd DAM/FDAM

RECOMMENDATION 3.5 - Working Draft/Standing Document/Base Document Circulation

WG 3 requests its WG 3 Convener/WG Secretary to circulate the following texts to WG 3 experts for

Reference	ISO/IEC PWI 39794-12
(e.g. ISO/IEC WD xxx)	
Title	Extensible biometric data interchange formats — Part 12:
Please also include any requests to	Fingermark image data
experts for comment/contributions on	
specific sections issues	
Project Editor (PE)	Ralph Lessmann
Deadline for submitting WD text to the	14 February 2025
WG Convenor/ Secretary	
Deadline for Expert Comments	5 May 2025

G3 development

Status in 2025 of ISO/IEC 25447

Face image profile for less constrained capture conditions

RECOMMENDATION 3.5 - Working Draft/Standing Document/Base Document Circulation

WG 3 requests its WG 3 Convener/WG Secretary to circulate the following texts to WG 3 experts for review.

Reference	ISO/IEC WD 25447
(e.g. ISO/IEC WD xxx)	
Title	Face image profile for less constrained capture conditions
Please also include any requests to experts for comment/contributions on specific sections issues	Call for contributions (please see WG3 doc. N1632): AU 4-011: There is a substantial use case for verification with the use of mobile phone and selfies type images being used in digital
	identity solutions. The requirements might be different if the capture of a facial image will be used and enrolled into an identification solution like a watchlist etc.
	Discuss either creating two profiles, separate requirements under this profile or combining requirements under a single profile that can be universally used. - Add visas to the list of excluded use cases. See AU-6 Please contribute on reference to the biometric enrolment guide
	US6-009:
	Consider whether LED lighting and digital image capture should be allowed, and if so, what useful parameters should be set. - Strike 2 nd paragraph please contribute
Project Editor (PE)	Udo Mahlmeister
Deadline for submitting WD text to the	14 February 2025
WG Convenor/Secretary	
Deadline for Expert Comments	5 May 2025
Deadline for PDoC Circulation	26 May 2025
Subsequent stage (if necessary)	2 nd WD/CD

Related Standards

Christoph Busch Standards 2025

Status in 2025 of 29794

- ISO/IEC 29794:2024 Part 1: Framework (published)
 - definition of quality score, quality component, quality measure, EDC
- ISO/IEC 29794:2024 Part 4: Finger image data (published)
 - the reference implementation NFIQ2.3 is in GitHub https://github.com/usnistgov/NFIQ2
- ISO/IEC 29794 Part 5: Face image data (FDIS)
 - ▶ the reference implementation OFIQ1.0 is in GitHub https://github.com/BSI-OFIQ/OFIQ-Project
 - Specific Image Defect Detection (SIDD) test report https://pages.nist.gov/frvt/reports/quality_sidd/frvt_quality_sidd_report.pdf
- ISO/IEC 29794 Part 6: iris image data
- ISO/IEC 29794 Part 9: vascular image data
- ISO/IEC 29794 Part 12: fingermark image data

Status in 2025

Content of the report WG3 N1617

- Introduction
- Face image quality
- Biometric fairness overview
- Demographic variables of interest
- Quality measures of interest
- Demographic variability reports
- Methodology
- Conclusion and recommendations

Report of the Ad Hoc Group on Demographic Variability of Face Image Quality Measures

Christoph Busch^{1*}, Andre Doersch¹, Pierre Gacon¹, Marcel Ginzler¹, Patrick Grother¹, Rudolf Haraksim¹, Daniel Hartung¹, Olaf Henniger¹, John Howard¹, Wassim Kabbani¹, C.J. Lee¹, Johannes Merkle¹, Lisa Mugnano¹, Torsten Schlett¹, Kerry Shannon¹, Yevgeniy Sirotin¹, Anna Stratman¹, Benjamin Tams¹, Joyce Yang¹

1*ISO/IEC JTC1 SC37 WG3.

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Abstract

This report addresses the challenge of demographic variability of biometric recognition systems, which are based on face image analysis and which are incorporating biometric sample quality assessment algorithms. When dealing with operational systems, the quality of captured face images is relevant as it will impact the recognition accuracy. Thus, it is required to measure the utility of a face sample with a quality score but also with complementary measures that can provide actionable feedback. Acceptability of biometric systems requires fairness of biometric algorithms and artificial neural networks that are used. It is important to determine if face recognition systems are/are not biased towards a specific demographic group. In order to investigate this challenge SC37 WG3 has started in July 2024 an Ad Hoc group on demographic variability of face image quality measures. This is the first report of the groups' work from July to December 2024. Disclaimer-01: It is desirable to investigate the demographic variability for sample quality assessement algorithms for fingerprint images and other. However this report is limited to face images.

Disclaimer-02: For the sake of providing a self-contained document, we included textual components from ISO/IEC standards [1–3] that we have developed and papers or reports [4–6] which we have published recently.

 $\textbf{Keywords:} \ \text{Biometric face recognition systems, Sample quality, Biometric fairness}$

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Status in 2025

Part of the content of the report WG3 N1617

transferred to a Technical Report

Report of the Ad Hoc Group on Demographic Variability of Face Image Quinlity Measures
Christoph Bunch*, Andre Doersch*, Perer Gacon*,
Marcel Gimele*, Parisk General*, Rodel Handeins*,
Marcel Gimele*, Parisk General*, Rodel Handeins*,
Wasim Kabhani*, C.J. Lee*, Johannos Meride*, Lias Magnano*,
Terreton Schattlet, Nerry Shanoni, Nyonguis Storiol*,
Anna Stratmani, Despaini Tame*, Ayore Yang*
"Scotter Care Control Control

Resolution 3.2 - Technical Report on "Demographic variability of face image quality measures"

WG 3 requests the SC 37 Committee Manager to initiate a Technical Report on *Demographic variability* of face image quality measures. This Technical Report will augment and process the report of the AhG on demographic variability of quality measures (WG 3 N1617) to become an ISO/IEC Technical Report.

Title	Demographic variability of face image quality measures
List Target Dates (e.g. below based on 36	2025-02-17 WD text
month SDT)	2026-01-05 DTR
2025-02-17 WD text	2028-01-31 publication
2026-01-05 DTR	
2028-01-31 publication	
Project Editor (PE)	Anna Stratmann

Christoph Busch Standards 2025

Status in 2025

Revision of ISO/IEC 29794 - Part 1: Framework

<u>RESOLUTION G.12 – Initiation of Revision process for ISO/IEC 29794-1:2024, Information technology – Biometric sample quality — Part 1: Framework</u>

SC 37 requests its Committee Manager to initiate the revision process for the following standard:

Revision of ISO/IEC 29794-1:2024, concerning definitions on generating Error vs. Discard Characteristics, Biometric Utility and Biometric Sample Quality Variation across Demographic Groups and process the methodology section from the report of the AhG on demographic variability of quality measures (WG 3 N1617).

Reference	ISO/IEC 29794-1:2024
Title	Biometric sample quality — Part 1:
	Framework
List Target Dates (e.g. below based on 36 month SDT)	2025-02-17 WD text
2025-02-17 WD text	2026-01-05 CD consultation
2026-01-05 CD consultation	2027-01-15 DIS ballot
2027-01-15 DIS ballot	2028-01-31 publication
2028-01-31 publication	
Project Editor (PE)	Olaf Henniger
WG in charge	WG 3
Standards development timeframe	36 month
(e.g. 18, 24, 36 months)	
Will the revision expand the scope of the original	Yes
project?	

Status in 2025

- Scope of ISO/IEC 29794:2024 Part 1: Framework
- This document establishes the following items for any or all biometric sample types as necessary:
 - terms and definitions that are useful in the specification and use of quality measures;
 - purpose and interpretation of biometric quality scores;
 - motivation for developing biometric sample datasets for the purpose of quality score normalization;
 - format for exchange of quality assessment algorithm results;
 - methods for aggregation of quality scores;
 - methods for evaluating the efficiency of quality assessment algorithms.

Status in 2025

- New Scope of rev ISO/IEC 29794- Part 1: Framework
- This document establishes the following items for any or all biometric sample types as necessary:
 - terms and definitions that are useful in the specification and use of quality measures;
 - purpose and interpretation of biometric quality scores;
 - motivation for developing biometric sample datasets for the purpose of quality score normalization;
 - format for exchange of quality assessment algorithm results;
 - methods for aggregation of quality scores;
 - methods for evaluating the efficiency of quality assessment algorithms;
 - methods for reporting demographic variability of quality measures

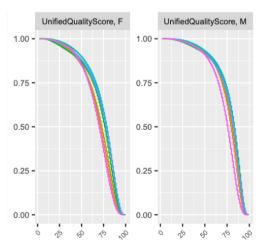
Status in 2025

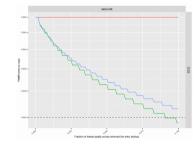
methods for reporting demographic variability

of quality measures.

Select from these candidates

- EDC curves
- Kernel density estimate curves
- Violin plots
- Cumulative score distributions
- Discard gap curves





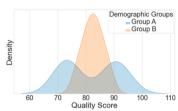


Fig. 17: Fictitious quality component Q_3 : KDE Plot of the demographic score distribution

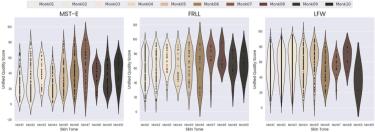
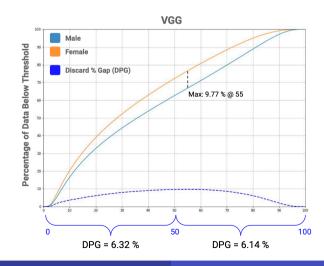


Fig. 3: Unified quality score distributions across the MST 10 skin tone scale.



Status in 2025

ISO/IEC 29794 - Part 4: Finger image data

RECOMMENDATION 3.11 - Continuation of WG 3 Ad hoc group on finger image quality

WG 3 requests its WG Convener/Secretary to re-establish a WG 3 Ad-Hoc Group on Finger Image Quality, to plan for a revision of ISO/IEC 29794-4 (Information technology–Biometric sample quality–Part 4: Finger image data).

<u>Terms of Reference</u>: In particular, the ad hoc group will determine the roadmap for ISO/IEC 29794-4, edition 3 including a timeline for a PWI or WD for ISO/IEC 29794-4, edition 3. The group will additionally work to develop and test a reference implementation of the proposed changes.

Status in 2025

NWIP ISO/IEC 29794 Part 9: Vascular image data

RECOMMENDATION 3.4 - Progression from PWI to New Work Item ballot on "Biometric sample quality - Part 9: vascular image data"

WG 3 supports the progression of PWI to NWIP and invites the Chinese NB to submit a New Work Item Proposal on *Biometric sample quality - Part 9: vascular image data*.

Proposer (e.g. which National Body?)	China
Title	ISO/IEC <mark>29794-9</mark> - Biometric sample quality- Part 9:
	vascular image data
Project Editor (PE)	Yinfei Zheng
Deadline for submitting NWIP text to the	14 February 2025
SC Committee Manager	
Subsequent stage (if necessary)	WD/CD

Status in 2025

• ISO/IEC 29794 PWI Part 12: Fingermark image data

Reference	ISO/IEC PWI 29794-12
(e.g. ISO/IEC WD xxx)	
Title	Biometric sample quality – Part 12: Fingermark image
Please also include any requests to experts for comment/contributions on	data
specific sections issues	Calls for Contributions are highlighted as warning
	messages in the OSD.
Project Editor (PE)	Raul Sanchez-Reillo
Deadline for submitting WD text to the	14 February 2025
WG Convenor/ Secretary	
Deadline for Expert Comments	5 May 2025
Deadline for PDoC Circulation	26 May 2025
Subsequent stage (if necessary)	NP

Christoph Busch Standards 2025

Morphing Attack Potential

Status in 2025 on ISO/IEC FDIS 20059

 Methodologies to evaluate the resistance of biometric recognition systems to morphing attacks

RECOMMENDATION 3.7 - FDIS/FDAM Registration/Circulation

ISO/IEC FDIS 20059
Methodologies to evaluate the resistance of biometric
recognition systems to morphing attacks
Matteo Ferrara
14 February 2025

WG3 Roadmap SD14-3

Status in 2025 on future projects

Face image data interchange format with 2D barcodes

Recommendation 3.19 - Face image data interchange format with 2D barcodes

WG 3 requests the WG 3 Convener/Secretary to issue a call for contributions to respond on the idea to develop a face image data format with 2D barcodes with a target size of 1 KB. Experts are invited to review WG3 N1637 and the slides at:

https://www.icao.int/Meetings/TRIP-Symposium-2024/Documents/HANSSON BONN%20joint %20presentation2.pdf

Experts are requested to submit comments and contributions to the WG 3 Convener/Secretary by 2025-05-15.

WG3 Roadmap SD14-3

Status in 2025 on future projects

Interoperable face embedding

Recommendation 3.20 - Interoperable face embedding

WG 3 requests the WG 3 Convener/Secretary to issue a Call for contributions to respond on the idea to develop an interoperable face embedding face image data format to be specified while preserving high accuracy. Experts are invited to review WG3 N1636.

Experts are requested to submit comments and contributions to the WG 3 Convener/Secretary by 2025-05-15.

Thanks

Many thanks

- to the sponsors
 - for meetings facilities and social events
- to the editors
- to the delegates

References

Web

- Convenors website with latest news and slides http://www.christoph-busch.de/standards-sc37wg3.html
- •ISO/IEC JTC SC37 http://isotc.iso.org/livelink/livelink? func=ll&objld=2262372&objAction=browse&sort=name
- Published ISO/IEC Standards
 http://www.iso.org/iso/iso_catalogue/catalogue_tc/
 catalogue tc browse.htm?commid=313770&published=on